

A. General Data				
S.No.	Particulars			Figures
1	Area			240928 sq km
2	Population			
	a.	As per 2001 Census.		16.62 crore
	b.	2009-2010		19.55 crore
3	Density of Population (2001) (All India Density = 325 persons per Sq.Km)			690 sq. km.
4	Population below poverty line (All India Average = 27.5 %)			32.8 per cent
5	Literacy (2001) (All India Average = 64.8%)			56.27 per cent
6	Infant mortality (per 1000 live births) All India Average = 53 per 1000 live births)			67
7	Life Expectancy at birth (All India Average =63.5 years)			60
8	Gini Coefficient ¹			
	a.	Rural. (All India = 0.30)		0.29
	b.	Urban. (All India = 0.37)		0.37
9	Gross State Domestic Product (GSDP) 2009-2010 at current prices			491302 crore
10	GSDP ² CAGR ³ (2000-01 to 2009-10)			11.70 per cent
11	Per capita GSDP CAGR (2000-01 to 2009-10)			9.70 per cent
12	GSDP CAGR (2000-01 to 2008-2009)	Uttar Pradesh		10.79 per cent
		Other General Category States		12.54 per cent
13	Population Growth (2000- 2001 to 2009-2010)	Uttar Pradesh		17.64 per cent
		Other General Category States		13.42 per cent
B. Financial Data				
S.No.		Particulars	Figures (in Per cent)	
1		CAGR	2000-01 to 2008-09	
			General Category States	Uttar Pradesh
				2000-01 to 2009-10
	a.	of Revenue Receipts.	14.40	15.40
	b.	of Own Tax Revenue.	13.59	12.74
	c.	of Non Tax Revenue.	12.08	16.87
	d.	of Total Expenditure.	12.38	13.81
	e.	of Capital Expenditure.	21.41	27.17
	f.	of Revenue Expenditure on Education.	9.33	9.82
	g.	of Revenue Expenditure on Health.	8.95	12.83
	h.	of Salary and Wages ⁴ .	9.37	11.35
	i.	of Pension.	12.03	15.66

Source: Financial data is based on figures in Finance Accounts. BPL (Planning Commission & NSSO data, 61st Round-<http://planning.commission.nic.in/data/database/Data0910/tab%2021.pdf>), Gini Coefficient (Unofficial estimates of Planning Commission & NSSO data, 61st Round 2004-05 MRP), Life Expectancy at birth (Office of the Registrar General of India; Ministry of Home Affairs; Economic Survey, 2009-10, Infant mortality rate (SRS Bulletin October, 2009), Density of population (Office of the Registrar General and census commissioner of India; Ministry of Home Affairs and Literacy (Office of the Registrar General of India; Ministry of Home Affairs).

¹ It is a measure of inequality of income distribution where zero refers to perfect equality and one refers to perfect inequality.

² GSDP= Gross State Domestic Product.

³ Compound Annual Growth Rate.

⁴ For the period 2001-02 to 2008-09 or 2009-2010 as the case may be.

Structure and form of Government Accounts and Layout of Finance Accounts

(Reference: Paragraph 1.1 ; page 1)

Part A: Structure and form of Government Accounts

Structure of Government Accounts: The accounts of the State Government are kept in three parts: (i) Consolidated Fund, (ii) Contingency Fund and (iii) Public Account.

Part I: Consolidated Fund : All revenues received by the State Government, all loans raised by issue of treasury bills, internal and external loans and all moneys received by the Government in repayment of loans form one consolidated fund titled 'The Consolidated Fund of State' established under Article 266 (1) of the Constitution of India.

Part II: Contingency Fund: Contingency Fund of the State, established under Article 267 (2) of the Constitution, is in the nature of an imprest placed at the disposal of the Governor to enable him to make advances to meet urgent unforeseen expenditure, pending authorisation by the Legislature. Approval of the Legislature for such expenditure and for withdrawal of an equivalent amount from the Consolidated Fund is subsequently obtained, whereupon the advances from the Contingency Fund are recouped to the Fund.

Part III: Public Account: Receipts and disbursements in respect of certain transactions such as small savings, provident funds, reserve funds, deposits, suspense, remittances, etc., which do not form part of the Consolidated Fund, are kept in the Public Account, set up under Article 266 (2) of the Constitution and are not subject to vote by the State legislature.

Part B: Layout of Finance Accounts

Statement No.	Layout
---------------	--------

The Finance Accounts have been divided into two volumes. Volume 1 presents the Financial Statements of the Government in the form of commonly understood summarised form while the details are presented in Volume II.

Volume I contains the certificates of the Comptroller and Auditor General of India, four summary statements as given below and notes to accounts including accounting policy.

Volume I

1	Statement of financial position depicting cumulative figures of assets and liabilities at the end of the financial year.
2	Statement of receipts and disbursements depicting receipts and disbursements in all the three parts.
3	Statement of receipts in Consolidated Fund comprising revenue and capital receipts and receipts from borrowings.
4	Statement of expenditure in Consolidated Fund depicting expenditure by function and nature.
Appendix 1	Cash balances and investment of cash balances

Volume II**Part I**

5	Statement of progressive capital expenditure detailing progressive capital expenditure the aggregate of which is depicted in Statement No 1.
6	Statement of borrowings and other liabilities depicting market loans raised by it and Loans and Advances received from the Government Of India.
7	Statement of loans and advances given by the Government of Uttar Pradesh together with recoveries.
8	Statement of Grants-in-aid given by the Government of Uttar Pradesh.
9	Statement of guarantees given by the Government for repayments of loans, etc., raised by Statutory Corporations, Government companies, Local Bodies and other Institutions during the financial year.
10	Statement of Voted and Charged expenditure presenting details of Voted and Charged expenditure.

Part II	
11	Detailed Statement of revenue and capital receipts of the Government by minor heads.
12	Detailed Statement of revenue expenditure of the Government by minor heads.
13	Detailed Statement of capital expenditure by minor heads including Plan and Non Plan figures.
14	Detailed Statement of investments of the Government depicting investments in the share capital, debentures of different concerns including types of shares held, face value, dividend received, etc.
15	Detailed Statement of borrowings and other liabilities including maturity and repayment profile of all loans.
16	Detailed Statement on loans and advances given by the Government presenting changes in loan balances, loans written off, interests received on loans, etc.
17	Detailed Statement on sources and application of funds for expenditure other than revenue account.
18	Detailed Statement of Contingency Fund and other Public Account transactions.
19	Detailed Statement on investments of earmarked funds.
Part III (Appendices)	
Appendix 2	Comparative expenditure on salary.
Appendix 3	Comparative expenditure on subsidy.
Appendix 4	Grants-in-aid given by the State Government (Institution and Scheme wise).
Appendix 5	Details of Externally Aided Projects.
Appendix 6	Plan Scheme expenditure.
Appendix 7	Direct transfers of Central scheme funds to implementing agencies that is funds routed outside the Budget.
Appendix 8	Summary of Balances under Consolidated Fund, Contingency Fund and Public Account.
Appendix 9	Financial works of Irrigation Works.
Appendix 10	Statement of Commitments on incomplete Public Works Contracts.
Appendix 11	Statement of items for which allocation of balances due to reorganization of State has not been finalized.
Appendix 12	Statement on maintenance of expenditure of the State.

The Government enacted the Fiscal Responsibility and Budget Management Act, 2004 to ensure fiscal stability and sustainability, and to enhance the scope for improving social and physical infrastructure and human development by achieving sufficient revenue surplus, reducing fiscal deficit and removing impediments to the effective conduct of fiscal policy and prudent debt management through limits on borrowings, government guarantees, debt and deficits, greater transparency in fiscal operations and use of a medium term fiscal frame work and for matters connected therewith or incidental thereto. The Government was also made responsible to lay Medium Term Fiscal Restructuring Policy along with the annual budget in the House, setting forth five year rolling targets for fiscal indicators and make rules for carrying out the provisions of the Act. The Fiscal Responsibility and Budget Management Rules were notified in October 2006. The following fiscal targets were set therein for the State Government to give effect to the principles of fiscal management as laid down in the Act.

- Reduce revenue deficit to nil within a period of five financial years beginning from 1st day of April 2004 and ending on 31st day of March 2009.
- Reduce fiscal deficit to not more than three *per cent* of estimated Gross State Domestic Product. However, considering overall slowdown in the economy, the Government of India had allowed the States to increase their fiscal deficit as much as to four *per cent* of their Gross State Domestic Product.
- Ensure within a period of 14 financial years beginning from the initial financial year on 1st day of April 2004 and ending on the 31 March 2018, that the total liabilities at the end of last financial year do not exceed 25 *per cent* of the estimated Gross State Domestic Product for that year.
- Ensure not to give guarantee for any amount exceeding the limit stipulated under any rule or law of the State Government existing at the time of the coming into force of the Act or any rules or law to be made by the State Government subsequent to coming into force of this Act.
- Further, the revenue deficit and fiscal deficit may not exceed the limits specified in the Act except on the ground(s) of unforeseen demands arising out of internal disturbances or natural calamities subject to the condition that the excess does not exceed the actual fiscal cost attributed to the calamities.

In light of the recommendations of the Twelfth Finance Commission, the Government developed its own Fiscal Correction Path indicating the milestones of outcome indicators with target dates of implementation during the period from 2004-05 to 2009-10 (**Appendix 1.4**) keeping in view the fiscal targets laid down in the Fiscal Responsibility and Budget Management Act, 2004 and the anticipated annual rate of reduction of fiscal deficit worked out by the Government of India for the Twelfth Finance Commission award.

Outcome indicators of the State's own Fiscal Correction Path

(Reference: Paragraph 1.1 ; page 1)

(₹ in crore)

Items	2003-04 Actual	2004-05 Pre-Actual	2005-06 B.E.	2005-06	2006-07	2007-08	2008-09	2009-10	2005-10 Total
1	2	3	4	5	6	7	8	9	10
A. STATE REVENUE ACCOUNT									
1. Own Tax Revenue	13,601.22	15,684.50	18,290.58	19,780.00	22,640.00	25,913.52	29,660.36	33,948.95	1,31,942.83
2. Own Non-Tax Revenue	2,282.08	2,718.86	2,247.19	2,247.19	2,641.90	2,782.47	2,931.74	3,090.27	13,693.57
3. Own Tax + Non-Tax Revenue(1+2)	15,883.30	18,403.36	20,537.77	22,027.19	25,281.90	28,695.99	32,592.10	37,039.22	1,45,636.40
4. Share in Central Taxes & Duties	13,272.97	14,724.65	17,046.23	18,306.23	20,167.93	23,141.59	26,612.77	30,671.38	1,18,899.90
5. Plan Grants	1,912.47	3,721.82	3,848.46	3,712.91	4,046.84	4,414.17	4,818.22	5,262.79	22,254.83
6. Non -Plan Grants	569.22	317.12	1,235.15	2,019.45	3,101.49	3,232.02	3,375.57	3,533.47	15,262.00
7. Total Central Transfer (4 to 6)	15,754.66	18,763.59	22,129.84	24,038.59	27,316.26	30,787.78	34,806.56	39,467.54	1,56,416.73
8. Total Revenue (3+7)	31,637.96	37,166.95	42,667.61	46,065.78	52,598.16	59,483.77	67,398.66	76,506.76	3,02,053.13
9. Plan Expenditure	3,275.97	5,016.97	7,090.44	5,641.91	6,283.03	6,996.64	7,791.35	8,676.71	35,389.64
10. Non -Plan Expenditure	34,667.75	39,612.59	40,980.64	44,021.08	47,972.97	53,599.48	58,408.66	64,170.77	2,68,172.96
11. Salary Expenditure	12,444.82	13,679.64	16,012.45	15,380.20	16,303.01	17,281.19	18,318.06	19,417.14	86,699.59
12. Pension	2,900.10	3,591.28	4,149.58	4,727.53	5,021.87	5,336.60	5,673.30	6,033.50	26,792.80
13. Interest Payments	10,123.60	11,865.24	10,792.08	10,092.00	11,299.00	12,383.00	13,630.00	14,888.00	62,292.00
14. Subsidies -General	105.89	117.43	129.17	129.17	142.09	156.30	171.93	189.12	788.61
15. Subsidies-Power	935.00	1,001.80	1,287.00	1,251.80	1,501.80	1,700.00	1,700.00	1,700.00	7,853.60
16. Total Revenue Expenditure (9+10)	37,943.72	44,629.56	48,071.08	49,662.99	54,256.00	60,596.12	66,200.01	72,847.48	3,03,562.60
17. Salary + Interest+ Pension (11+12+13)	25,468.52	29,136.16	30,954.11	30,199.71	32,623.88	35,000.79	37,621.36	40,338.64	1,75,784.39
18. As% of Revenue Receipts (17/8)	80.50	78.39	72.55	65.56	62.02	58.84	55.82	52.73	58.20
19. Revenue Surplus/Deficit (8-16)	-6,305.76	-7,462.60	-5,403.47	-3,597.21	-1,657.84	-1,112.35	1,198.65	3,659.28	-1,509.47
20. Outstanding Debts	1,06,407.04	1,19,926.10	1,29,154.91	1,32,311.50	1,44,056.28	1,55,781.36	1,66,610.87	1,77,228.00	7,75,988.01
B. CONSOLIDATED REVENUE ACCOUNT									
1. Power Sector loss/profit net of actual subsidy transfer	1,083.00	2,108.00	2,186.00	2,186.00	2,152.00	1,776.00	1,208.00	418.00	7,740.00
2. Increase in debtors during the year in power utility accounts [Increase(-)]	1,070.00	1,180.00	1,170.00	1,170.00	1,031.00	854.00	617.00	309.00	3,981.00
3. Interest payments on off budget borrowing and SPV borrowings made by PSU/SPUs outside budget	521.77	517.26	531.12	531.12	594.18	743.00	827.14	862.77	3,558.21
4. Total (1 to 3)	2,674.77	3,805.26	3,887.12	3,887.12	3,777.18	3,373.00	2,652.14	1,589.77	15,279.21
5. Consolidated Revenue Deficit (A19+B4)	8,980.53	11,267.87	9,290.59	7,484.33	5,435.02	4,485.35	1,453.49	-2,069.51	16,788.68
C. CONSOLIDATED DEBT									
1. Outstanding Debts & Liabilities	1,07,490.04	1,22,034.10	1,31,340.91	1,34,497.50	1,46,208.28	1,57,557.36	1,67,183.87	1,77,646.00	7,83,728.01
2. Total Outstanding guarantee of which (a) guarantee on account off budgeted borrowing and SPV borrowing	10,354.32	11,154.32	11,954.32	11,954.32	12,754.32	13,544.32	14,354.32	15,154.32	67,771.60
D. CAPITAL ACCOUNT:									
1. Capital Outlay	3,448.52	5,652.10	7,897.91	9,154.47	9,769.92	10,278.31	11,675.73	13,905.41	54,783.84
2. Disbursement of Loans and Advances	1,242.37	681.98	592.71	710.52	714.68	771.85	833.60	900.29	3,930.94
3. Recovery of Loans and Advances	220.36	277.63	361.51	1,076.80	397.66	437.43	481.17	529.29	2,922.35
4. Other Capital Receipts	38,540.58	37,688.77	24,464.98	26,492.19	21,818.14	21,631.10	21,277.25	20,834.14	1,12,052.82
E. GROSS FISCAL DEFICIT (GFD)	10,776.29	13,519.06	13,532.58	12,385.40	11,744.78	11,725.08	10,829.91	10,617.13	57,301.90
1.GSDP (Rs. Crore) at current prices	2,17,673.14	2,29,413.80	2,56,943.46	2,56,943.46	2,87,776.67	3,22,309.87	3,60,987.06	4,04,305.50	16,32,322.56
2. Actual/Assumed Nominal Growth Rate (%)	---	---	---	12.00	12.00	12.00	12.00	12.00	---
3. Total Expenditure	42,414.25	50,686.01	56,200.19	58,451.18	64,342.94	71,208.85	78,228.17	87,123.89	3,59,355.03

Excluding ₹ 12277.40 crore (UPSEB write off amount)

Including salary (Grant-in-aid) for Teachers and Non-teaching staff of the Aided Educational Institutions

₹ 5871.83 crore of Power Bonds

Appendix 1.4

Items	2003-04 Actual	2004-05 Pre-Actual	2005-06 B.E.	2005-06	2006-07	2007-08	2008-09	2009-10	2005-10 Total
1	2	3	4	5	6	7	8	9	10
1. Own Tax Revenue as % of GSDP	6.25%	6.84%	7.12%	7.70%	7.87%	8.04%	8.22%	8.40%	8.08%
2. Own Non-Tax Revenue as % of GSDP	1.05%	1.19%	0.87%	0.87%	0.92%	0.86%	0.81%	0.76%	0.84%
3. Own Tax + Non-Tax Revenue as % of GSDP	7.30%	8.02%	7.99%	8.57%	8.79%	8.90%	9.03%	9.16%	8.90%
4. Share in Central Taxes & Duties as % of GSDP	6.10%	6.42%	6.63%	7.12%	7.01%	7.18%	7.37%	7.59%	7.28%
5. Plan Grants as % of GSDP	0.88%	1.62%	1.50%	1.45%	1.41%	1.37%	1.33%	1.30%	1.36%
6. Non -Plan Grants as % of GSDP	0.26%	0.14%	0.48%	0.79%	1.08%	1.00%	0.93%	0.87%	0.93%
7. Total Central Transfer as % of GSDP	7.24%	8.18%	8.61%	9.36%	9.49%	9.55%	9.64%	9.76%	9.58%
8. Total Revenue Receipts as % of GSDP	14.53%	16.20%	16.61%	17.93%	18.28%	18.46%	18.67%	18.92%	18.50%
9. Plan Expenditure as % of GSDP	1.50%	2.19%	2.76%	2.20%	2.18%	2.17%	2.16%	2.15%	2.17%
10. Non -Plan Expenditure as % of GSDP	15.93%	17.27%	15.95%	17.13%	16.67%	16.63%	16.18%	15.87%	16.43%
11. Salary Expenditure as % of GSDP	5.72%	5.96%	6.23%	5.99%	5.67%	5.36%	5.07%	4.80%	5.21%
12. Pension as % of GSDP	1.33%	1.56%	1.61%	1.84%	1.75%	1.66%	1.57%	1.49%	1.64%
13. Interest Payments as % of GSDP	4.65%	5.17%	4.20%	3.93%	3.93%	3.84%	3.78%	3.68%	3.82%
14. Subsidies-General as % of GSDP	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%
15. Subsidies-Power as % of GSDP	0.43%	0.44%	0.50%	0.49%	0.52%	0.53%	0.47%	0.42%	0.48%
16. Total Revenue Expenditure as % of GSDP	17.43%	19.45%	18.71%	19.33%	18.85%	18.80%	18.34%	18.02%	18.60%
17. Salary + Interest+ Pension as % of GSDP	11.70%	12.70%	12.05%	11.75%	11.34%	10.86%	10.42%	9.98%	10.77%
18. Revenue Surplus/Deficit as % of GSDP	-2.90%	-3.25%	-2.10%	-1.40%	-0.58%	-0.35%	0.33%	0.51%	-0.09%
19. Capital Outlay as % of GSDP	1.58%	2.46%	3.07%	3.56%	3.39%	3.19%	3.23%	3.44%	3.36%
20. Disbursement of Loans and Advances as % of GSDP	0.58%	0.30%	0.23%	0.28%	0.25%	0.24%	0.23%	0.22%	0.24%
21. Recovery of Loans and Advances as % of GSDP	0.10%	0.12%	0.14%	0.42%	0.14%	0.14%	0.13%	0.13%	0.18%
22. Other Capital Receipts as % of GSDP	17.71%	16.43%	9.52%	10.31%	7.58%	6.71%	5.89%	5.15%	6.86%
23. GFD as % of GSDP	4.95%	5.89%	5.27%	4.82%	4.08%	3.64%	3.00%	2.63%	3.51%
24. Outstanding Public Debt	48.9%	52.3%	50.3%	51.5%	50.1%	48.3%	46.2%	43.8%	%
25. Consolidated Outstanding Debt	49.4%	53.2%	51.1%	52.3%	50.8%	48.9%	46.5%	43.9%	48.0%

Abstract of receipts and disbursements for the year 2009-10

(Reference: Paragraph 1.2; page 2)

(₹ in crore)

		Receipts		Disbursements				
2008-09			2009-10	2008-09		Non-Plan	Plan	Total 2009-10
Section-A: Revenue								
77,830.73	I	Revenue receipts	96,420.95	75,968.89	I	Revenue expenditure	73,672.43	15,701.18 89,373.61
28,658.97		Tax revenue	33,877.60	29,769.32		General services	39,817.01	824.29 40,641.30
				28,546.01		Social Services	21,065.79	10,998.49 32,064.28
6,766.55		-Non-tax revenue	13,601.09	12,944.34		-Education, Sports, Art and Culture	13,689.44	2,492.24 16,181.68
				3,703.05		-Health and Family Welfare	3,597.29	1,182.17 4,779.46
30,905.72		-State's share of Union Taxes	31,796.67	3,877.27		-Water Supply, Sanitation, Housing and Urban Development	146.94	1,500.80 1,647.74
				58.13		-Information and Broadcasting	41.77	0.73 42.50
3,142.62		-Non-Plan grants	3,947.97	2,608.03		-Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes	1,553.08	1,273.32 2,826.40
5,195.76		-Grants for State Plan Schemes	5,624.01	187.31		-Labour and labour Welfare	201.64	65.11 266.75
				5,127.22		-Social Welfare and Nutrition	1,788.84	4,484.12 6,272.96
3,158.61		-Grants for Central and Centrally sponsored Plan Schemes	7,569.25	40.66		-Others	46.79	-- 46.79
2.50		External Grants Assistance	4.36	14,149.35		Economic Services-	9,429.60	3,878.40 13,308.00
				2,917.39		-Agriculture and Allied Activities	1,775.80	1,084.43 2,860.23
				4,507.79		-Rural Development	1,365.36	2,225.54 3,590.90
				22.87		-Special Areas Programmes	24.17	55.14 79.31
				2,713.15		-Irrigation and Flood control	2,537.67	284.95 2,822.62
				1,650.83		-Energy	1,892.80	3.65 1,896.45
				737.99		-Industry and Minerals	149.38	190.35 339.73
				1,439.37		-Transport	1,517.92	2.32 1,520.24
				19.24		-Science, Technology and Environment	7.41	22.85 30.26
				140.72		-General Economic Services	159.09	9.17 168.26
				3,504.21		Grants-in-aid and Contributions-	3,360.03	-- 3,360.03
				75,968.89		Total		89,373.61
	II	Revenue deficit carried over to Section B		1,861.84	II	Revenue Surplus carried over to Section B		7,047.34
77,830.73		Total	96,420.95	77,830.73		Total		96,420.95

(₹ in crore)

Receipts				Disbursements				
2008-09			2009-10	2008-09		Non-Plan	Plan	Total 2009-10
Section-B : Others								
15,200.16	III	Opening Cash balance including Permanent Advances and Cash Balance Investment	9,067.14		III	Opening Overdraft from Reserve Bank of India		
..	IV	Miscellaneous Capital receipts	--	22,345.72	IV	Capital Outlay-	5,866.75	19,224.48
				841.35		General Services-	434.89	176.08
				2,945.44		Social Services-	54.07	4,647.95
				887.25		-Education, Sports, Art and Culture	16.31	510.37
				1,230.46		-Health and Family Welfare	13.88	1,249.87
				521.80		-Water Supply, Sanitation, Housing and Urban Development	23.13	2,550.69
				(-)6.59		-Information and Broadcasting	--	--
				170.95		-Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes	--	83.21
				75.42		-Social Welfare and Nutrition	0.05	185.14
				66.15		-Others	0.70	68.66
				18,558.93		Economic Services-	5,377.79	14,400.45
				2,625.71		-Agriculture and Allied Activities	3,993.14	4,355.47
				736.13		-Rural Development	4.50	2,389.91
				1,416.42		-Special Areas Programmes	163.57	883.40
				2,601.99		-Irrigation and Flood Control	0.17	2,310.85
				6,131.87		-Energy	1,040.00	4,052.37
				(-)4.44		-Industry and Minerals	165.26	15.54
				5,013.33		-Transport	11.15	4,207.91
				37.92		-General Economic Services	--	177.99
						Science Technology and Environment	--	0.15
778.09	V	Recoveries of Loans and Advances-	293.08	807.01	V	Loans and Advances disbursed-	732.62	209.23
--		-From Power Projects				-For Power Projects	--	--
43.79		-From Govt. Servants	52.25			-To Government Servants	95.53	--
734.30		-From Others	240.83			-To Others	846.32	--
1,861.84	VI	Revenue Surplus brought down	7,047.34		VI	Revenue Deficit brought down		
16,760.03	VII	Public debt receipts-	22,489.07	6,776.49	VII	Repayment of Public debt-		7,668.59
..		-External debt	-			-External debt	--	--

(₹ in crore)

Receipts			Disbursements					
2008-09		2009-10	2008-09			Non-Plan	Plan	Total 2009-10
16,339.09		22,206.41	5,577.10		-Internal debt other than Ways and Means Advances and overdrafts	--	--	6,468.73
--		--			- Net transactions under Ways and Means Advances	--	--	--
--		--			- Net transactions under overdraft	--	--	--
420.94		282.65	1,199.39		-Repayment of Loans and Advances to Central Government	--	--	1,199.86
--	VIII	--		VIII	Appropriation to Contingency Fund	--	--	--
170.92	IX	82.82		IX	Expenditure from Contingency Fund	--	--	--
1,04,251.96	X	99,907.88	1,00,026.64	X	Public Account disbursements-	--	--	1,01,780.30
6,510.78		8,155.67			-Small Savings and Provident Funds	4,285.56	--	4,285.56
3,628.26		5,824.56			-Reserve Funds	10,298.81		10,298.81
52,278.00		40,084.48			-Suspense and Miscellaneous	41,449.44		41,449.44
19,178.62		28,582.82			-Remittances	28,579.38		28,579.38
22,656.30		17,260.35			-Deposits and Advances	17,167.11		17,167.11
	XI		9,067.14	XI	Cash Balance at end-			3,405.36
					-Cash in Treasuries and Local Remittances	14.19		
					-Deposits with Reserve Bank	184.04		
					-Departmental Cash Balance including permanent Advances	12.54		
					-Cash Balance Investment	3,194.59		
1,39,023		1,38,887.33	1,39,023		Total			1,38,887.33

Appendix 1.6

Actuals, vis-à-vis, Budget Estimates for 2009-10

(Reference: Paragraph 1.3; page 4)

(₹ in crore)

Particulars	Budget estimates	Actuals	Increase (+)/ Decrease (-)	Increase/ Decrease (-) in per cent
1	2	3	4 (3-2)	5
Revenue Receipts of which	94,439.84	96,420.95	1,981.11	2.10
Tax Revenue	33,455.82	33,877.60	421.78	1.26
<i>Taxes on Sales, Trade etc.</i>	20,741.27	20,825.18	83.91	0.40
<i>State excise</i>	5,176.45	5,666.05	489.60	9.46
<i>Taxes on vehicles</i>	874.89	1,403.50	528.61	60.42
<i>Stamps and Registration fees</i>	5,351.02	4,562.23	(-) 788.79	(-) 14.74
<i>Taxes on Goods and Passenger</i>	700.00	271.05	(-) 428.95	(-) 61.28
<i>Land Revenue</i>	181.02	663.14	482.12	266.34
<i>Other taxes</i>	431.17	486.45	55.28	12.82
Non Tax Revenue	5,626.92	1,3601.08	7,974.16	141.71
<i>Interest Receipts</i>	1,085.86	603.66	(-) 482.20	(-) 44.41
<i>Miscellaneous General Services</i>	1,144.92	8,075.13	6,930.21	605.30
<i>Non-ferrous Mining and Metallurgical Industries</i>	517.75	604.97	87.22	16.85
<i>Other Non Tax Revenue</i>	2,878.39	4317.32	1,438.93	49.99
Share of Union Taxes and Duties	39658.38	31,796.67	(-) 7,861.71	(-) 19.82
Grants-in-aid from GOI	15,698.72	17,145.59	1,446.87	9.22
Revenue Expenditure of which	92,866.65	89,373.61	(-) 3,493.04	(-) 3.76
General Services	41,055.82	40,641.30	(-) 414.52	(-) 1.01
<i>Administrative services</i>	9,849.55	9,314.58	(-) 534.97	(-) 5.43
<i>Pension and Miscellaneous General Services</i>	11,077.30	11,106.85	29.55	0.27
<i>Interest Payments</i>	16,608.88	16,855.09	246.21	1.48
<i>Fiscal Services</i>	2,446.17	2,389.64	(-) 56.53	(-) 2.31
<i>Organs of State</i>	1,073.92	975.14	(-) 98.78	(-) 9.20
Social Services	33,836.00	32,064.28	(-) 1,771.72	(-) 5.24
<i>Education, Sports, Art and Culture</i>	17,567.61	1,6181.68	(-) 1,385.93	(-) 7.89
<i>Social Welfare and Nutrition</i>	6,627.54	6,272.96	(-) 354.58	(-) 5.35
<i>Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes</i>	2,725.21	2,826.39	101.18	3.71
<i>Health and Family Welfare</i>	5,248.55	4,779.46	(-) 469.09	(-) 8.94
<i>Water Supply, Sanitation, Housing and Urban Development</i>	1,178.61	1,647.73	469.12	39.80
<i>Information and Broadcasting</i>	65.91	42.50	(-) 23.41	(-) 35.52
<i>Labour and Labour Welfare</i>	370.57	266.76	(-) 103.81	(-) 28.01
<i>Others</i>	52.00	46.80	(-) 5.20	(-) 10.00

(₹ in crore)

Particulars	Budget estimates	Actuals	Increase (+)/ Decrease (-)	Increase/ Decrease (-) in per cent
1	2	3	4 (3-2)	5
Economic Services	14,415.30	13,308.00	(-) 1,107.30	(-) 7.68
<i>Agriculture and Allied Services</i>	3,560.37	2860.24	(-) 700.13	(-) 19.66
<i>Rural Development</i>	3,788.54	3,590.89	(-) 197.65	(-) 5.22
<i>Special Area Programme</i>	95.00	79.31	(-) 15.69	(-) 16.52
<i>Irrigation & Flood Control</i>	3,121.67	2,822.62	(-) 299.05	(-) 9.58
<i>Power</i>	1,894.97	1,896.45	1.48	0.08
<i>Industry & Minerals</i>	338.82	339.72	0.90	0.27
<i>Transport and Communication</i>	1,389.69	1,520.24	130.55	9.39
<i>Science, Technology and Environment</i>	29.75	30.26	0.51	1.71
<i>General Economic Services</i>	196.49	168.27	(-) 28.22	(-) 14.36
Grants-in-aid and Contributions	3,559.53	3,360.03	(-) 199.50	(-) 5.60
Capital expenditure of which	24,204.69	25,091.23	886.54	3.66
General Services	982.19	610.97	(-) 371.22	(-) 37.80
Social Services	5,078.88	4,702.02	(-) 376.86	(-) 7.42
<i>Education, Sports, Art and Culture</i>	514.00	526.67	12.67	2.46
<i>Health and Family Welfare</i>	1,254.65	1,263.75	9.10	0.73
<i>Water Supply, Sanitation, Housing and Urban Development</i>	2,836.86	2,573.82	(-) 263.04	(-) 9.27
<i>Welfare of Scheduled Caste, Scheduled Tribe and Other Backward Classes</i>	105.78	83.21	(-) 22.57	(-) 21.34
<i>Social Welfare</i>	295.86	185.20	(-) 110.66	(-) 37.40
<i>Other Social Services</i>	71.73	69.37	(-) 2.36	(-) 3.29
Economic Services	18,143.62	19,778.24	1,634.62	9.01
<i>Agriculture and Allied Services</i>	519.69	4,355.47	3,835.78	738.09
<i>Rural Development</i>	1,922.56	2,394.41	471.85	24.54
<i>Special Area programme</i>	1,110.02	1,046.97	(-) 63.05	(-) 5.68
<i>Irrigation & Flood Control</i>	2,997.16	2,311.01	(-) 686.15	(-) 22.89
<i>Energy</i>	6,875.95	5,092.37	(-) 1,783.58	(-) 25.94
<i>Industries and Minerals</i>	7.80	180.81	173.01	2,218.08
<i>Transport and Communication</i>	4,652.61	4,219.06	(-) 433.55	(-) 9.32
<i>Science, Technology and Environment</i>	0.05	0.15	0.10	200.00
<i>General economic Services</i>	57.78	177.99	120.21	208.05
Revenue surplus (+)/ deficits (-)	(-) 1,573	(+) 7,047	8,620	548.00
Fiscal Deficits (-)	(-) 23,299	(-) 18,693	4,606	19.77
Primary surplus (+)/ deficits (-)	(-) 11,556	(-) 6,705	4,851	41.98

Time series data on the State Government finances

(Reference: Paragraph 1.5.1 and 1.5.2; page 8 and 10)

(₹ in crore)

	2005-06	2006-07	2007-08	2008-09	2009-10
Part A. Receipts					
1. Revenue Receipts	45,349	60,600	68,672	77,831	96,421
(i) Tax Revenue	18,858(42)	22,998 (38)	24,959(36)	28,659 (37)	33,878(35)
Taxes on Sales, Trade, etc.	11,285(60)	13,279 (58)	15,023(60)	17,482 (61)	20,825 (62)
State Excise	3,089(16)	3,551 (15)	3,948(16)	4,720 (17)	5,666 (17)
Taxes on Vehicles	965(5)	1,018 (4)	1,146(05)	1,125 (4)	1,404 (4)
Stamps and Registration fees	2,997(16)	4,514 (20)	3,977(16)	4,138 (14)	4,562 (13)
Land Revenue	109(1)	188 (1)	393(2)	549 (2)	663 (2)
Taxes on Goods and Passengers	105	109	110	266(1)	271 (1)
Other Taxes	308(2)	339 (2)	362(1)	379 (1)	487 (1)
(ii) Non Tax Revenue	2,930(6)	6,533 (11)	5,816(08)	6,767 (8)	13,601(14)
(iii) State's share of Union taxes and duties	18,203(40)	23,218 (38)	29,288(43)	30,906 (40)	31,797 (33)
(iv) Grants in aid from Government of India	5,358(12)	7,851 (13)	8,609(13)	11,499 (15)	17,146 (18)
2. Miscellaneous Capital Receipts	--	--	--	--	--
3. Recoveries of Loans and Advances	585	356	449	778	293
4. Total Revenue and Non debt Capital Receipts (1+2+3)	45,934	60,956	69,121	78,609	96,714
5. Public Debt Receipts	14,257	11,711	9,079	16,760	22,489
Internal Debt (excluding Ways and Means Advances and Overdrafts)	13,931(98)	11,332 (97)	8,710(96)	16,339 (97)	22,206 (99)
Net transactions under Ways and Means Advances and Overdrafts	----	-----	-----	--	--
Loans and Advances from Government of India	326(2)	379 (3)	369(04)	421 (3)	283 (1)
6. Total Receipts in the Consolidated Fund (4+5)	60,191	72,667	78,200	95,369	1,19,203
7. Contingency Fund Receipts	299	645	604	171	83
8. Public Account Receipts	52,529	63,075	77,870	1,04,252	99,908
9. Total Receipts of the State (6+7+8)	1,13,019	1,36,387	1,56,674	1,99,792	2,19,194
Part B. Expenditure/Disbursement					
10. Revenue Expenditure	46,617(83)	55,699 (79)	65,223(79)	75,969 (77)	89,374 (77)
Plan	6,445(14)	9,699 (17)	11,744(18)	17,291 (23)	15,701(18)
Non Plan	40,172(86)	46,000 (83)	53,479(82)	58,678 (77)	73,673 (82)
General Services (including interest payments)	20,919(45)	24,300 (44)	26,551(41)	29,769 (39)	40,641(45)
Social Services	15,610(33)	19,248 (35)	23,086(35)	28,546 (37)	32,064 (36)
Economic Services	7,756(17)	9,409 (17)	12,037(18)	14,150 (19)	13,308 (15)
Grants-in-aid and contributions	2,332(5)	2,742(5)	3,549(6)	3,504 (5)	3,360 (4)
11. Capital Expenditure	8,711(16)	13,984 (20)	16,950 (20)	22,346 (22)	25,091 (22)
Plan	8,172(94)	13,312 (95)	13,720(81)	18,088 (81)	19,224 (77)
Non Plan	539(6)	672 (5)	3,230(19)	4,258 (19)	5,867 (23)
General Services	313(4)	654 (5)	575(3)	841 (4)	611 (2)
Social Services	1,159(13)	2,180 (15)	2,113(13)	2,946 (13)	4,702 (19)
Economic Services	7,239(83)	11,150 (80)	14,262(84)	18,559 (83)	19,778 (79)
12. Disbursement of Loans and Advances	684(1)	888 (1)	742(1)	807 (1)	942 (1)
13. Total (10+11+12)	56,012	70,571	82,915	99,122	1,15,407

(₹ in crore)

	2005-06	2006-07	2007-08	2008-09	2009-10
14. Repayments of Public Debt	5,252	5,912	5,369	6,776	7,669
Internal Debt (excluding Ways and Means Advances and Overdrafts)	4,045(7)	3,738 (63)	4,179(78)	5,577(82)	6,469 (84)
Net transactions under Ways and Means Advances and Overdraft	25(0)	----	-----	--	--
Loans and Advances from Government of India	1,182(23)	2,174 (37)	1,190(22)	1,199 (18)	1200 (16)
15. Appropriation to Contingency Fund	----	----	----	--	---
16. Total disbursement out of Consolidated Fund (13+14+15)	61,264	76,483	88,284	1,05,898	1,23,076
17. Contingency Fund disbursements	183	10	117	--	---
18. Public Account disbursements	43,172	52,901	68,560	1,00,027	1,01,780
19. Total disbursement by the State (16+17+18)	1,04,619	1,29,394	1,56,961	2,05,925	2,24,856
Part C. Deficits					
20. Revenue Deficit(-)/Revenue Surplus (+) (1-10)	(-) 1,268	(+) 4,901	(+)3,449	(+)1862	(+) 7,047
21. Fiscal Deficit (-)/Fiscal Surplus (+) (4-13)	(-)10,078	(-)9,615	(-)13,794	(-)20513	(-)18,693
22. Primary Deficit (21+23)	(-) 980	(+) 862	(-)2,974	(-)9138	(-) 6,705
Part D. Other data					
23. Interest Payments (included in revenue expenditure)	9,098	10,477	10,820	11375	11,988
24. Financial Assistance to local bodies, etc.	10,283	12,484	15,360	15,822	18,120
25. Ways and Means Advances/Overdraft availed (days)					
Ways and Means Advances availed (days)	48	-	-	-	-
Overdraft availed (days)	11	-	-	-	-
26. Interest on Ways and Means Advances/Overdraft	5	-	-	-	-
27 Gross State Domestic Product (GSDP)⁵	2,77,068	3,12,627	3,57,557⁵	4,12,151⁶	4,91,302⁷
28 Outstanding Fiscal liabilities (year end)	1,48,868	1,62,156	1,74,248	1,87,411	2,01,720
29. Outstanding guarantees (year end) (including interest)	8,433	11,056	12,736	16,084	20,038
30. Maximum amount guaranteed (year end)	15,073	12,235	18,144	27,892	29,311
31. Number of incomplete projects	27	58	53	98	126
32. Capital blocked in incomplete projects	3,551	5,407	5,863	4,598	880.85

⁵ Figure of GSDP for 2007-08 is provisional.⁶ Figures of GSDP for 2008-09 is quick⁷ Figures of GSDP for 2009-10 is advance.

(₹ in crore)

	2005-06	2006-07	2007-08	2008-09	2009-10
Part E: Fiscal Health Indicators					
I Resource Mobilization					
Own Tax revenue/GSDP	6.81	7.36	6.98	6.95	6.90
Own Non-Tax Revenue/GSDP	1.06	2.09	1.63	1.64	2.77
Central Transfers/GSDP	6.57	7.43	8.19	7.50	6.47
II Expenditure Management					
Total Expenditure/GSDP	20.22	22.57	23.19	24.05	23.49
Total Expenditure/Revenue Receipts	123.51	116.45	120.74	127.36	119.69
Revenue Expenditure/Total Expenditure	83.23	78.93	78.66	76.64	77.44
Expenditure on Social Services/Total Expenditure	30	30	30	32	32
Expenditure on Economic Services/Total Expenditure	27	29	32	33	29
Capital Expenditure/Total Expenditure	16	20	20	23	22
Capital Expenditure on Social and Economic Services/Total Expenditure.	15	19	20	22	21
III Management of Fiscal Imbalances					
Revenue deficit (surplus)/GSDP	0.46	--	--	--	--
Fiscal deficit/GSDP	3.64	3.08	3.86	4.98	3.80
Primary Deficit (surplus) /GSDP	0.35	--	0.83	2.22	1.36
Revenue Deficit/Fiscal Deficit	12.58	--	--	--	--
Primary Revenue Balance/GSDP	(-) 3.74	(-) 1.78	(-) 2.06	(-) 2.31	(-) 1.01
IV Management of Fiscal Liabilities					
Fiscal Liabilities/GSDP	54	52	49	45	41
Fiscal Liabilities/RR	328	268	254	241	209
Primary deficit vis-à-vis quantum spread	(+)8,152	(+)8,424	(+)4,664	(+)8,426	(+)17,733
Debt Redemption (Principal +Interest)/ Total Debt Receipts	78	92	97	96	96
V Other Fiscal Health Indicators					
Return on Investment	0.15	0.85	1.05	1.26	0.84
Balance from Current Revenue (Rs in crore)	4,482	12,314	12,536	13,960	14,422
Financial Assets/Liabilities	0.51	0.58	0.63	0.66	0.72

Figures in brackets represent percentages (rounded) to total of each sub-heading

@ GSDP figures communicated by the Government adopted.

Appendix 1.8

Summarised financial position of the Government as on 31 March 2010

(Reference: Paragraph 1.9.1 and 1.9.2; page 29-30)

(₹ in crore)

As on 31.03.2009	Liabilities		As on 31.03.2010
97,339.29	Internal Debt -		1,13,076.97
	Market Loans bearing interest	54,929.13	
	Market Loans not bearing interest	3.03	
	Loans from Life Insurance Corporation of India	(-) 36.73	
	Loans from other Institutions	58,212.23	
	Ways and Means Advances	(-) 30.69	
	Overdrafts from Reserve Bank of India	---	
20,364.03	Loans and Advances from Central Government -		19,446.83
	Pre 1984-85 Loans	9.96	
	Non-Plan Loans	116.19	
	Loans for State Plan Schemes	19,077.89	
	Loans for Central Plan Schemes	0.87	
	Loans for Centrally Sponsored Plan Schemes	240.49	
	Ways and Means Advances	1.43	
517.18	Contingency Fund		600.00
25,358.90	Small Savings, Provident Funds, etc.		29,229.00
16,116.43	Deposits		16,209.79
28,232.04	Reserve Funds		23,757.79
272.08	Remittance Balances		275.52
1,88,199.95	Total		2,02,595.90
	Assets		
1,11,501.35	Gross Capital Outlay on Fixed Assets -		1,36,592.58
	Investments in shares of Companies, Corporations, etc.	34,275.02	
	Other Capital Outlay	1,02,317.56	
9,013.76	Loans and Advances -		9,662.53
	Loans for Power Projects	2,781.49	
	Other Development Loans	6,796.94	
	Loans to Government servants and Miscellaneous loans	84.10	
45.20	Reserve Fund Investments		45.20
7.19	Advances		7.31
(-) 4,554.66	Suspense and Miscellaneous Balances		(-) 3,189.71
9,067.14	Cash -		3,405.36
	Cash in Treasuries and Local Remittances	14.19	
	Deposits with Reserve Bank	184.04	
	Departmental Cash Balance	12.13	
	Permanent Advances	0.41	
	Cash Balance Investments	3,194.59	
63,119.97	Deficit on Government Account -		56,072.63
64,981.81	(i) Accumulated deficit at the beginning of the year	63,119.97	
(-) 1,861.84	(ii) Less Revenue Surplus of the current year	(-) 7,047.34	
1,88,199.95	Total		2,02,595.90

Explanatory Notes for Appendices 1.5 and 1.8

The abridged accounts in the foregoing statements have to be read with comments and explanations in the Finance Accounts. Government accounts being mainly on cash basis, the deficit on Government account, as shown in *Appendix 1.8*, indicates the position on cash basis, as opposed to accrual basis in commercial accounting. Consequently, items payable or receivable or items like depreciation or variation in stock figures, etc., do not figure in the accounts. Suspense and Miscellaneous balances include cheques issued but not paid, payments made on behalf of the State and other pending settlements, etc. There was a difference of ₹ 127.11 crore (Net debit) between the figures reflected in the Accounts and that intimated by the Reserve Bank of India under "Deposits with Reserve Bank".

Statement of grants/appropriations where saving was more than ₹ 10 crore in each grant/appropriation or more than 20 per cent of the total provision

(Reference: Paragraph 2.3.1; Page 42.)

Sl. No.	Grant No.	Name of the Grant/Appropriation	Total Grant/ Appropriation	Savings	Per- centage
			(₹ in crore)		
(1)	(2)	(3)	(4)	(5)	(6)
A-Revenue Voted					
1	3	Industries Department (Small Industry and Export Promotion)	98.78	10.18	10
2	6	Industries Department (Handloom Industry)	36.34	13.69	38
3	7	Industries Department (Heavy and Medium Industries)	231.50	97.34	42
4	9	Power Department	2,710.80	67.86	3
5	10	Agriculture and Other Allied Departments (Horticultural and Sericulture Development)	189.94	22.31	12
6	11	Agriculture and Other Allied Departments (Agriculture)	2,308.38	720.33	31
7	12	Agriculture and Other Allied Departments (Land Development and Water Resources)	193.27	23.92	12
8	13	Agriculture and Other Allied Departments (Rural Development)	2,080.96	33.08	2
9	14	Agriculture and Other Allied Departments (Panchayati Raj)	1,766.87	334.35	19
10	15	Agriculture and Other Allied Departments (Animal Husbandry)	390.93	19.46	5
11	17	Agriculture and Other Allied Departments (Fisheries)	57.93	17.98	31
12	19	Personal Department (Training and Other Expenditure)	6.95	3.07	44
13	23	Cane Development Department (Cane)	135.69	19.70	15
14	26	Home Department (Police)	5,909.18	101.09	2
15	31	Medical Department (Medical Education and Training)	722.68	27.37	4
16	32	Medical Department (Allopathy)	2,374.79	414.68	17
17	33	Medical Department (Ayurvedic and Unani)	353.36	42.77	12
18	34	Medical Department (Homeopathy)	171.02	25.00	15
19	35	Medical Department (Family Welfare)	1,330.92	84.23	6
20	36	Medical Department (Public Health)	379.38	49.92	13
21	37	Urban Development Department	543.75	54.47	10
22	40	Planning Department	132.04	44.66	34
23	42	Judicial Department	798.46	191.88	24
24	44	Tourism Department	19.35	5.30	28
25	45	Environment Department	15.55	11.44	74
26	46	Administrative Reforms Department	7.80	2.06	27
27	47	Technical Education Department	306.96	53.26	17
28	48	Muslim Waqf Department	813.43	350.04	43
29	49	Women and Child Welfare Department	3,191.15	218.28	7
30	50	Revenue Department (District Administration)	411.23	33.90	8
31	51	Revenue Department (Relief on Account of Natural Calamities)	937.65	601.30	64
32	52	Revenue Department (Board of Revenue and Other Expenditure)	1,429.48	64.65	5
33	53	National Integration Department	1.31	0.61	46
34	54	Public Works Department (Establishment)	1,034.53	442.11	43

Sl. No.	Grant No.	Name of the Grant/Appropriation	Total Grant/ Appropriation	Savings	Per-centage
			(₹ in core)		
(1)	(2)	(3)	(4)	(5)	(6)
A-Revenue Voted					
35	60	Forest Department	374.44	65.12	17
36	61	Finance Department (Debt Services and Other Expenditure)	4,491.05	217.26	5
37	62	Finance Department(Superannuation allowance and Pension)	9,220.19	77.84	1
38	71	Education Department (Primary Education)	12,306.16	1313.45	11
39	72	Education Department (Secondary Education)	4,942.91	258.35	5
40	73	Education Department (Higher Education)	1,139.42	93.50	1
41	75	Education Department (State Council of Educational Research and Training)	97.38	15.74	16
42	78	Secretariat Administration Department	348.89	30.73	9
43	80	Social Welfare Department (Social Welfare and Welfare of SCs)	2,818.94	91.41	3
44	81	Social Welfare Department (Tribal Welfare)	42.46	11.91	28
45	83	Social Welfare Department (Special Component Plan for SCs)	3,635.58	291.56	8
46	86	Information Department	85.74	39.82	46
47	87	Soldier's Welfare Department	54.12	16.90	31
48	88	Institutional Finance Department (Directorate)	34.23	14.90	44
49	89	Institutional Finance Department (Commercial-Tax)	391.70	21.18	5
50	91	Institutional Finance Department (Stamp and Registration)	135.50	11.48	8
51	95	Irrigation Department (Establishment)	1,585.40	16.76	1
52	96	Irrigation Department (Works)	1,408.95	158.52	11
		Total- A	74,205.42	6,948.72	
B-Capital Voted					
53	2	Housing Department	1,168.48	180.20	15
54	7	Industries Department (Heavy and Medium Industries)	146.80	24.47	17
55	9	Power Department	7,005.95	1,870.59	27
56	11	Agriculture and Other Allied Departments (Agriculture)	322.04	32.74	10
57	13	Agriculture and Other Allied Departments (Rural Development)	1,051.33	19.68	2
58	14	Agriculture and Other Allied Departments (Panchayati Raj)	630.00	17.57	3
59	15	Agriculture and Other Allied Departments (Animal Husbandry)	19.70	8.54	43
60	18	Agriculture and Other Allied Departments (Co-Operative)	32.92	10.18	31
61	21	Food and Civil Supplies Department	11,727.13	627.51	5
62	25	Home Department (Jails)	252.57	82.53	33
63	26	Home Department (Police)	348.52	145.34	42
64	28	Home Department (Political Pension and Other Expenditure)	0.30	0.30	100
65	32	Medical Department (Allopathy)	588.45	151.79	26
66	35	Medical Department (Family Welfare)	70.00	40.54	58
67	37	Urban Development Department	1,265.45	374.16	30
68	38	Civil Aviation Department	124.78	11.77	9
69	40	Planning Department	351.14	294.19	84

Appendix 2.1

Sl. No.	Grant No.	Name of the Grant/Appropriation	Total Grant/ Appropriation	Savings	Per- centage
			(₹ in core)		
(1)	(2)	(3)	(4)	(5)	(6)
A-Revenue Voted					
70	42	Judicial Department	139.75	107.22	77
71	44	Tourism Department	221.90	31.42	14
72	47	Technical Education Department	241.91	75.36	31
73	48	Muslim Waqf Department	231.17	134.62	58
74	49	Women and Child Welfare Department	1.29	0.96	74
75	50	Revenue Department (District Administration)	141.02	19.83	14
76	53	National Integration Department	0.01	0.01	100
77	56	Public Works Department (Special Area Programme)	313.93	11.51	4
78	57	Public Works Department (Communication-Bridges)	620.43	28.57	5
79	61	Finance Department (Debt Services and other Expenditure)	460.01	274.13	54
80	62	Finance Department (Superannuation Allowances and Pensions)	150.00	93.55	62
81	63	Finance Department (Treasury and Accounts Administration)	2.72	1.07	39
82	67	Legislative Council Secretariat	0.16	0.06	38
83	73	Education Department (Higher Education)	72.71	20.55	28
84	78	Secretariat Administration Department	62.82	61.82	98
85	81	Social Welfare Department (Tribal Welfare)	8.12	7.62	94
86	82	Vigilance Department	9.69	5.37	55
87	83	Social Welfare Department (Special Component Plan for SCs)	4,902.68	724.30	15
88	87	Solder Welfare Departement	0.86	0.81	94
89	89	Institutional Finance Department (Commercial-Tax)	7.21	2.87	40
90	96	Irrigation Department(Works)	2,630.91	22.13	1
		Total B	35,324.86	5,515.88	
C-Revenue Charged					
91	1	Excise Department	0.10	0.02	20
92	11	Agriculture and Other Allied Departments (Agriculture)	0.15	0.15	100
93	13	Agriculture and other Allied Departments (Rural Development)	0.10	0.08	80
94	15	Agriculture and Other Allied Departments (Animal Husbandry)	0.14	0.14	100
95	23	Cane Development Department (Cane)	0.02	0.01	50
96	25	Home Department (Jail)	0.08	0.05	63
97	26	Home Department (Police)	0.66	0.63	95
98	32	Medical Department (Allopathy)	0.20	0.20	100
99	35	Medical Department (Family Welfare)	0.15	0.15	100
100	36	Medical Department (Public Health)	0.01	0.01	100
101	47	Technical Education Department	0.01	0.01	100
102	49	Women and Child Welfare Department	0.10	0.10	100
103	50	Revenue Department (District Administration)	0.18	0.18	100
104	54	Public Works Department (Establishment)	0.04	0.04	100
105	58	Public Works Department (Communications Roads)	0.05	0.05	100

Sl. No.	Grant No.	Name of the Grant/Appropriation	Total Grant/Appropriation	Savings	Percentage
			(₹ in core)		
(1)	(2)	(3)	(4)	(5)	(6)
A-Revenue Voted					
106	60	Forest Department	0.14	0.11	79
107	61	Finance Department (Debt Services and other expenditure)	16,958.93	352.19	2
108	62	Finance Department (Superannuation Allowances and Pensions)	17.55	9.70	55
109	67	Legislative Council Secretariat	0.47	0.16	34
110	68	Legislative Assembly Secretariat	0.61	0.26	43
111	72	Education Department (Secondary Education)	0.03	0.03	100
112	95	Irrigation Department (Establishment)	0.50	0.44	88
		Total -C	16,980.12	364.71	
D-Capital Charged					
113	21	Food and Civil Supplies Department	2,400.02	1000.02	42
114	52	Revenue Department (Board of Revenue and Other Expenditure)	0.11	0.10	91
115	55	Public Works Department (Buildings)	2.10	0.54	26
116	58	Public Works Department (Communications- Roads)	5.50	1.19	22
117	61	Finance Department (Debt Services and Other Expenditure)	15,866.83	9,219.96	58
118	96	Irrigation Department (Works)	10.00	3.27	33
		Total -D	18,284.56	10,225.08	
		Total (A+B+C+D)	1,44,794.96	23,054.39	

Statement of grants/appropriations where excess expenditure was more than ₹ 10 crore in each grant/appropriation or more than 20 per cent of the total provision

(Reference: Paragraph 2.3.3; Page 44)

Sl. No.	Grant No.	Name of the Grant/Appropriation	Total Grant/ Appropriation	Expenditure	Percentage of excess expenditure
			₹ in crore		
(1)	(2)	(3)	(4)	(5)	(6)
A-Revenue-Voted					
1	58	Public Works Department (Communications-Roads)	1,284.38	1,416.78	10
		Total	1,284.38	1,416.78	
B- Capital Voted					
2	24	Cane Development Department (Sugar Industry)	509.00	545.29	7
3	52	Revenue Department (Board of Revenue and other Expenditure)	0.48	0.99	106
4	55	Public Works Department (Buildings)	37.85	399.97	957
5	58	Public Works Department (Communications-Roads)	3,103.97	4,244.81	37
6	75	Education Department (State Council of Educational Research and Training)	0.10	0.16	60
		Total	3,651.40	5,191.22	
C- Revenue Charged					
7	3	Industries Department (Small Industries and Export Promotion)	0.04	0.08	100
8	10	Agriculture and Other Allied Departments (Horticulture and Sericulture Development)	0.74	0.99	34
9	18	Agriculture and Other Allied Departments (Co-operative)	5.83	7.93	36
10	48	Muslim Waqf Department	0.02	0.04	100
11	52	Revenue Department(Board of Revenue & Other Expenditure)	0.70	2.27	224
		Total	7.33	11.31	
		Grand Total	4,943.11	6,619.31	

Year	Number of grants/ appropriations	Grant/ appropriation numbers	Amount of excess (₹ in crore)
2005-06	29	Revenue- Voted 8, 12, 19, 53, 55, 57, 58, 72 Capital- Voted 15, 16, 18, 23, 24, 33, 34, 37, 38, 40, 55, 56, 57, 58, 73, 75, 96 Revenue- Charged 1, 52 Capital- Charged 52, 55	1,026.78
2006-07	24	Revenue- Voted 9, 13, 55, 58, 61, 62, 73, 91, 95 Capital- Voted 3, 16, 31, 37, 55, , 57, 58, 89, 96, Revenue- Charged 2, 3, 10, 52, 62, 89	2,484.47
2007-08	14	Revenue –voted 51,55,57,58,62 Capital voted 13,16,55,58,63,83,96 Revenue charged 51,66	3,610.65
2008-09	6	Revenue Voted 62,96 Capital voted- 55,58,96 Revenue Charged- 52	3,399.42
Total			10,521.32

Appendix 2.4

Cases where supplementary provision (₹ 50 lakh or more in each case) proved unnecessary

(Reference: Paragraph 2.3.6; Page 46)

(In thousands of ₹)

Sl. No.	Number and name of the Grant	Original Provision	Actual expenditure	Savings out of Original Provision	Supplementary Provision
A Revenue (Charged)					
1	42- Judicial Department	1,35,76,48	1,32,39,16	3,37,32	4,04,55
	Total -A	1,35,76,48	1,32,39,16	3,37,32	4,04,55
B-Revenue (Voted)					
2	8- Industries Department (Printing and Stationery)	1,07,69,58	1,04,41,85	3,27,73	2,00,00
3	9- Power Department	27,09,53,39	26,42,94,13	66,59,26	1,26,70
4	12- Agriculture and Other Allied Departments (Land Revenue and Water Resources)	1,88,09,84	1,69,35,31	18,74,53	5,17,70
5	23-Cane Development Department (Cane)	1,31,86,56	1,15,98,87	15,87,69	3,82,00
6	31-Medical Department (Medical Education and Training)	7,11,14,42	6,95,31,45	15,82,97	11,54,00
7	37-Urban Development Department	5,38,75,49	4,89,28,03	49,47,46	5,00,00
8	40- Planning Department	1,01,71,22	87,38,48	14,32,74	30,33,00
9	42-Judicial Department	7,97,24,78	6,06,58,36	1,90,66,42	1,21,38
10	47-Technical Education Department	2,92,14,92	2,53,69,71	38,45,21	14,80,48
11	48- Muslim Waqf Department	7,64,61,71	4,63,38,74	3,01,22,97	48,81,00
12	51-Revenue Department (Relief on account of Natural Calamities)	6,87,65,58	3,36,35,19	3,51,30,39	2,50,00,01
13	52- Revenue Department (Board of Revenue and Other Expenditure)	14,05,26,76	13,64,83,45	40,43,31	24,21,61
14	60- Forest Department	3,71,33,32	3,09,32,18	62,01,14	3,11,14
15	61-Finance Department (Debt Services and Other Expenditure)	43,29,73,24	42,73,79,43	55,93,81	1,61,32,01
16	71-Education Department (Primary Education)	1,22,96,16,64	1,09,92,71,26	13,03,45,38	10,00,00
17	72- Education Department (Secondary Education)	48,24,84,49	46,84,56,11	1,40,28,38	1,18,06,82
18	82- Vigilance Department	26,42,05	26,33,30	8,75	1,65,00
19	86-Information Department	70,04,40	45,92,04	24,12,36	15,70,06
20	89-Institutional Finance Department (Comm. -Tax)	3,91,10,02	3,70,51,90	20,58,12	60,14
	Total -B	3,07,45,38,41	2,80,32,69,79	27,12,68,62	7,08,63,05
C-Capital Voted					
21	11-Agriculture and Other Allied Departments(Agriculture)	3,08,16,40	2,89,29,88	18,86,52	13,87,39
22	22-Sports Department	31,90,64	26,87,07	5,03,57	70,23
23	26-Home Department (Police)	3,35,90,44	2,03,17,58	1,32,72,86	12,61,60
24	40-Planning Department	81,14,33	56,95,51	24,18,82	2,70,00,00
25	42-Judicial Department	1,31,25,05	32,53,00	98,72,05	8,50,00
26	47-Technical Education Department	2,26,22,16	1,66,54,95	59,67,21	15,69,00
27	60-Forest Department	1,66,17,85	1,64,04,53	2,13,32	71,36
28	71- Education Department (Primary Education)	8,34,30	7,78,09	56,21	1,00,00
29	73- Education Department (Higher education)	71,71,03	52,16,50	19,54,53	1,00,00
30	83-Social Welfare Department (Special Component Plan for SCs)	48,19,94,52	41,78,37,85	6,41,56,67	82,73,00
	Total -C	61,80,76,72	51,77,74,96	10,03,01,76	4,06,82,58
	Grant Total	3,70,61,91,61	3,33,42,83,91	37,19,07,70	11,19,50,18

Statement of grants/appropriations where supplementary provision proved insufficient by more than ₹ two crore in each grant/appropriation

(Reference: Paragraph 2.3.6; Page 46)

Sr. No.	Grant Number	Name of the Grants and Appropriation	Original Provision	Supple- mentary provision	Total	Expenditure	Excess
			(₹ In Crore)				
Capital Voted							
1	24	Cane Development Department	309.00	200.00	509.00	545.29	36.29
2	55	Public Works Department (Building)	34.05	3.80	37.85	399.97	362.12
3	58	Public Works Department (Communication-Roads)	2,763.05	340.92	3,103.97	4,244.81	1,140.84
4	59	Public Works Department (Estate Directorate)	90.67	11.80	102.47	104.50	2.03
		Total	3,196.77	556.52	3,753.29	5,294.57	1,541.28

Appendix 2.6

Excess/unnecessary/insufficient re-appropriation of funds

(Reference: Paragraph 2.3.7; Page 46.)

(₹ in lakh)

Sl. No.	Grant No.	Name of the Grant	Head of Account	Re-appropriations (+)	Final Excess(+)/ Saving (-)
1.	10	Agriculture and Other Allied Departments (Horticulture and Sericulture Development)	2415-80-004-07	2.20	(+) 0.14
2.			2401-001-03	48.63	(+) 14.53
3.			2415-80-004-06	13.07	(+) 63.54
4.	11	Agriculture and other allied Department (Agriculture)	2052-800-03	125.00	(+) 79.70
5.			2401-001-05	265.00	(+) 25.72
6.			2401-109-07	556.92	(-) 8.39
7.			2401-111-04	54.00	(+) 4.82
8.			2402-103-04	200.00	(-) 27.25
9.			2415-80-120-09	165.82	(-) 63.64
10.			4401-102-01	289.74	(+) 0.41
11.			4401-103-04	2,987.93	(+) 306.13
12.	12	Agriculture and other allied Department (Land Development and water Resources)	2705-800-01	166.83	(-) 1.10
13.	13	Agriculture and other allied Department (Rural Development)	2215-01-102-01	5,829.46	(+) 73.54
14.			2505-01-702-01	5,728.62	(+) 433.36
15.			2515-001-03	30.49	(+) 8.64
16.			2515-102-06	571.68	(-) 113.59
17.			2515-800-04	106.20	(+) 0.02
18.	14	Agriculture and other allied Department (Panchayati Raj)	2070-800-03	848.96	(-) 7.11
19.			2515-800-07	40.00	(+) 10.56
20.	15	Agriculture and other allied Department (Animal Husbandry)	2403-106-03	52.61	(+) 102.61
21.			2403-800-06	350.00	(+) 1.33
22.	18	Agriculture and other allied Department (Co-operative)	2425-001-03	23.04	(+) 45.25
23.			2425-001-06	32.28	(-) 1.57
24.	22	Sports Department	2204-001-03	40.00	(+) 99.23
25.	25	Home Department (Jails)	2056-001-03	17.64	(+) 1.14
26.			2056-101-03	250.14	(-) 26.42
27.			2056-800-03	6.38	(+) 19.14
28.	26	Home Department (Police)	2055-101-04	65.90	(-) 238.83
29.			2055-103-04	17.00	(-) 17.00
30.			2055-104-06	100.00	(-) 46.40
31.			2055-114-03	2.00	(-) 46.68
32.			2055-101-03	890.97	(+) 58.29
33.			2055-108-03	68.12	(+) 45.91
34.			2055-109-03	16,298.38	(+) 1,618.79
35.			2055-800-03	215.56	(+) 892.68
36.			2055-800-05	7,200.00	(-) 670.73
37.			2055-800-07	9.37	(-) 6.96
38.			2070-800-03	1,472.74	(-) 811.03
39.			4055-800-08	78.89	(-) 78.89

(₹ in lakh)

Sl. No.	Grant No.	Name of the Grant	Head of Account	Re-appropriations (+)	Final Excess(+)/ Saving (-)
40.	27	Home Department (Civil Defence)	2070-106-03	3.20	(+) 12.97
41.			2070-107-04	184.03	(+) 120.27
42.			2070-107-05	3,786.40	(-) 37.25
43.			2070-107-06	93.05	(-) 3.86
44.			4070-800-06	205.91	(+) 0.01
45.	28	Home Department (Police Pension and other Expenditure)	2235-60-800-03	196.78	(-) 190.68
46.			3055-190-03	24.70	(+) 0.38
47.	29	Confidential Department (Governor's Secretariat)	2012-03-105-03	0.10	(-) 0.02
48.			2012-03-800-03	6.78	(+) 0.01
49.	31	Medical Department (Medical Education and Training)	4210-03-105-42	141.66	(-) 33.33
50.	32	Medical Department (Allopathy)	2210-03-110-10	2,100.00	(+) 170.20
51.			4210-01-110-51	797.74	(-) 397.37
52.			4210-01-110-72	2,030.44	(-) 341.35
53.			4210-02-103-04	771.43	(-) 39.12
54.	33	Medical Department (Ayurvedic and Unani)	2210-02-101-03	35.35	(+) 11.56
55.			2210-05-101-03	4.00	(+) 10.28
56.			2210-05-103-03	32.28	(+) 31.81
57.			2210-04-103-03	1.40	(+) 192.32
58.	37	Urban Development Department	2053-094-05	87.95	(-) 87.95
59.			2053-094-03	100.00	(+) 57.51
60.			2217-80-191-12	4.25	(+) 1.29
61.	38	Civil Aviation Department	2070-114-03	10.05	(+) 10.70
62.	41	Election Department	2015-103-04	9.47	(+) 0.11
63.			2015-106-03	2.09	(+) 127.88
64.			2015-106-04	79.42	(+) 0.53
65.			2015-106-05	44.62	(+) 19.79
66.			2052-800-03	1.52	(+) 12.13
67.	42	Judicial Department	2014-114-03	59.66	(+) 13.99
68.			2014-114-04	1339.98	(-) 345.25
69.			2014-114-06	3.50	(-) 0.05
70.			4059-01-051-01	418.26	(-) 10,000.00
71.	43	Transport Department	4059-01-051-03	45.85	(-) 8.06
72.	44	Tourism Department	3452-80-800-01	10.57	(-) 10.57
73.			5452-80-104-01	14.10	(+) 236.04
74.	45	Environment Department	3435-04-001-04	7.79	(-) 4.30
75.	47	Technical Education Department	2230-03-003-10	2.00	(-) 11.44
76.			2203-001-05	6.00	(-) 1.21
77.			2203-104-07	16.76	(-) 0.01

(₹ in lakh)

Sl. No.	Grant No.	Name of the Grant	Head of Account	Re-appropriations (+)	Final Excess(+)/ Saving (-)
78.			2203-104-13	42.52	(+) 22.40
79.			2203-104-25	12.73	(-) 1.33
80.			2203-105-06	13.88	(+) 0.21
81.			2230-03-101-03	133.50	(-) 1.98
82.	48	Muslim Waqf Department	2070-105-04	11.81	(-) 0.88
83.	49	Women and Child Welfare Department	2235-02-001-03	1.50	(-) 35.40
84.			2235-02-102-08	71.81	(-) 191.74
85.			2235-02-102-13	21.51	(-) 654.02
86.	52	Revenue Department (Board of Revenue and other Expenditure)	2052-099-03	3.00	(-) 22.71
87.	54	Public Works Department (Establishment)	2059-80-001-04	37.00	(+) 2,401.13
88.	55	Public Works Department (Buildings)	2059-01-053-03	10.00	(-) 3.57
89.			4059-01-051-06	22.41	(-) 12.04
90.			4059-80-051-23	63.27	(+) 26.33
91.	58	Public Works Department (Communications-Roads)	5054-03-337-03	1,300.00	(+) 2,357.23
92.			5054-04-337-13	7,440.69	(+) 13803.86
93.			5054-04-337-74	4,172.37	(-) 381.83
94.			5054-04-337-76	477.72	(+) 205.30
95.			5054-04-337-97	200.00	(-) 199.89
96.			5054-04-800-04	2,500.00	(-) 732.10
97.	59	Public Works Department (Estate Directorate)	2052-090-03	175.00	(+) 23.38
98.			2052-091-03	22.68	(+) 0.23
99.			2059-80-053-05	0.75	(+) 7.80
100.			2216-01-700-04	31.00	(-) 6.00
101.			4059-01-051-05	98.04	(+) 503.00
102.			4059-80-051-03	329.30	(-) 0.01
103.			4059-01-051-04	300.00	(-) 94.94
104.	60	Forest Department	2406-01-001-03	121.33	(+) 0.16
105.			2406-02-110-01	88.50	(-) 4.26
106.	61	Finance Department (Superannuation Allowances and Pensions)	2049-04-101-03	36,095.43	(-) 35,311.24
107.			2049-01-123-04	700.00	(-) 0.02
108.			2049-01-200-04	106.29	(+) 0.84
109.			2049-01-305-03	305.83	(-) 34.38
110.	68	Legislative Assembly Secretariat	2011-02-103-03	256.92	(-) 0.01
111.	71	Education Department (Primary Education)	2202-01-102-07	90,000.00	(-) 5,068.81
112.			2202-01-102-23	4,222.06	(-) 474.10
113.	72	Education Department (Secondary Education)	2202-02-109-01	500.00	(-) 889.37
114.			2071-01-109-03	11.00	(+) 16.39
115.			2202-02-800-12	54.57	(-) 35.90
116.	73	Education Department (Higher Education)	2202-03-001-04	45.00	(-) 3.36
117.			2202-03-102-33	3.00	(-) 1.35

(₹ in lakh)

Sl. No.	Grant No.	Name of the Grant	Head of Account	Re-appropriations (+)	Final Excess(+)/ Saving (-)
118.			2202-03-103-04	110.00	(-) 5.66
119.			2202-03-103-06	50.00	(-) 10.76
120.			4202-01-203-05	415.06	(-) 35.29
121.	75	Education Department (State Council of Education Research and Training)	2202-80-003-09	16.35	(+) 15.78
122.			2202-80-003-20	41.97	(-) 8.06
123.			2202-80-004-03	34.75	(+) 7.51
124.			2202-80-800-01	58.20	(+) 26.17
125.	76	Labour Department (Labour Welfare)	2210-01-102-04	1.50	(+) 0.02
126.			2230-01-001-03	11.75	(+) 10.23
127.	77	Labour Department (Employment)	2230-02-001-03	0.50	(+) 91.21
128.	78	Secretariat Administration Department	2013-104-03	100.00	(-) 3.28
129.			2013-105-03	261.00	(-) 10.35
130.			2052-090-03	350.00	(+) 429.79
131.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	2235-02-101-03	2.88	(-) 110.87
132.			2235-02-101-14	7.20	(-) 293.64
133.			2235-02-101-07	509.34	(-) 143.10
134.			2235-02-800-04	10.00	(-) 9.51
135.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	2225-01-277-07	1,442.34	(-) 128.91
136.	81	Social Welfare Department (Tribal Welfare)	2235-02-796-03	138.38	(-) 2.22
137.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	2215-01-789-03	3,539.57	(-) 206.21
138.			2217-04-789-01	413.10	(+) 325.18
139.			2217-04-789-04	3237.75	(-) 156.49
140.			2217-05-789-01	11,169.28	(+) 2799.09
141.			2501-01-789-01	559.65	(-) 89.11
142.			4210-02-789-04	1,465.93	(-) 31.36
143.			4250-789-05	296.87	(-) 26.04
144.			4515-789-03	20,000.00	(+) 14,478.98
145.			5054-04-789-03	11,473.50	(+) 50,344.22
146.			5054-04-789-04	140.95	(+) 156.29
147.	85	Public Enterprises Department	2052-800-03	2.40	(-) 0.01
148.	88	Institutional Finance Department (Directorate)	2052-091-05	6.01	(-) 0.03
149.	89	Institutional Finance Department (Commercial Tax)	2040-800-06	27.02	(+) 2.53
150.			2040-800-07	5.46	(-) 0.01
151.	96	Irrigation Department (Works)	2700-08-101-03	12.10	(+) 2.01
152.			2700-10-101-03	1.79	(-) 0.22
153.			2701-28-101-03	1.39	(-) 2.94
154.			2701-35-101-03	1.75	(-) 0.13
155.			2701-08-101-03	6.32	(+) 9.90
156.			2711-01-103-04	633.00	(-) 508.00

Appendix 2.6

(₹ in lakh)

Sl. No.	Grant No.	Name of the Grant	Head of Account	Re-appropriations (+)	Final Excess(+)/ Saving (-)
157.			4700-15-051-05	100.00	(-) 105.69
158.			4700-34-051-05	250.00	(-) 250.00
159.			4700-60-051-03	157.24	(-) 156.76
160.			4700-87-051-04	100.00	(-) 100.00
161.			4700-88-051-04	300.00	(-) 300.00
162.			4711-01-103-24	262.08	(-) 764.52
163.			4700-04-051-05	200.00	(-) 9.46
164.			4700-54-051-03	1,091.92	(+) 26.97
165.			4700-55-051-05	3,000.00	(+) 10.82
166.			4700-56-051-03	160.50	(-) 0.07
167.			4700-57-051-05	100.00	(+) 0.07
168.			4700-79-051-05	100.00	(+) 5.79
169.			4700-82-051-05	200.00	(+) 16.12
170.			4700-83-051-05	537.88	(-) 0.02
171.			4700-84-051-03	11,856.20	(-) 0.20
172.			4700-86-051-05	291.62	(-) 0.01
173.			4701-37-051-05	61.13	(-) 0.05
174.			4701-38-051-05	200.50	(+) 0.01
175.			4701-40-051-03	178.11	(+) 1.06
176.			4701-45-051-03	124.40	(+) 2.60
177.			4701-65-051-03	97.31	(+) 400.08
178.			4701-67-800-03	1,500.00	(-) 400.01
179.			4701-74-051-03	700.00	(+) 0.21
180.			4701-80-005-03	62.76	(+) 157.73
181.			4701-85-051-03	100.00	(-) 0.06
182.			4702-101-04	488.56	(-) 99.35
183.			4711-01-103-03	153.75	(+) 18.50
184.			4711-01-103-07	100.00	(-) 43.27
185.			4711-01-103-09	100.00	(-) 0.11
186.			4711-01-103-23	2,325.00	(+) 350.11
187.			4711-01-103-29	176.84	(+) 629.68
188.			4711-03-103-11	181.33	(-) 1.38
Total				2,89,715.27	(+) 94,622.18 (-) 61,851.81

Appendix 2.7

Substantial surrenders made during 2009-10

(Reference: Paragraph 2.3.9; Page 46.)

(₹ in lakh)

Sl. No.	Number and title of grant	Name of the scheme (Head of account)	Provision	Amount surrendered	Percentage of surrender
1.	3-Industries Department (Small Industry and Export Promotion)	Central Plan/ Centrally Sponsored Schemes (2851-102-01)	827.97	786.28	95
2.		Aeroplane freight help schemes (2851-800-14)	100.00	95.00	95
3.	6-Industries Department (Handloom Industry)	Central Plan/ Centrally Sponsored Schemes (2851-103-01)	2,290.03	1,248.39	55
4.	7-Industries Department (Heavy and Medium Industries)	National E-Governance Action plan (2852-80-800-09)	2,400.00	2,400.00	100
5.		Interest free Loan to Provincial Industrial Investment Corporation under Deferred amount of Trade Tax Scheme (6885-01-190-03)	4,500.00	2,659.49	59
6.	9-Power Department	U.P. Electricity Production Nigam Ltd. (4801-02-190-14)	1,16,125.02	93,825.01	81
7.		Share Capital Investment to U.P. Electricity Corporation for special Project of APDRP (4801-05-190-05)	10,000.00	10,000.00	100
8.		Investment in Share Capital of U.P. Power Corporation for rapid electrification of rural area Rajeev Gandhi Rural Electrification Programme (4801-06-190-03)	1,00,000.00	80,959.90	81
9.	10-Agriculture and Other Allied Departments (Horticultural and Sericulture Development)	Creation of Horticultural database (2401-111-03)	122.00	122.00	100
10.		Nursery (4401-119-03)	15.00	15.00	100
11.	11- Agriculture and Other Allied Departments (Agriculture)	Central Plan/ Centrally Sponsored Schemes (2401-103-01)	534.57	534.57	100
12.		Central Plan/ Centrally Sponsored Schemes (2401-109-01)	820.00	446.21	54
13.		Kisan Mitra Yojana (2401-109-05)	5,835.76	5,462.31	94
14.		U.P. Diversified Agriculture Support Project Phase-II (2401-109-97)	1,800.00	900.00	50
15.		Payment of premium to Indian Agricultural Insurance company Ltd. for crop Insurance (2401-110-04)	5,000.00	3,750.00	75
16.		Crops production and data bank of production of statistics (2401-111-05)	456.80	234.63	51
17.		Rabi Pulse Production Programmes (2401-112-03)	3,140.90	2,886.90	92
18.		Central Plan/ Centrally Sponsored Schemes (2401-800-01)	344.00	337.66	98
19.		National Agriculture Development Scheme (2401-800-03)	51,731.47	34,503.10	67
20.		Strengthening of bio-fertilizer Production labs / scheme for encouragement of use of Bio-fertilizer (2402-101-05)	323.40	233.40	72

(₹ in lakh)

Sl. No.	Number and title of grant	Name of the scheme (Head of account)	Provision	Amount surrendered	Percentage of surrender
21.		Strengthening of Agriculture research facilities in U.P. agriculture research council (2415-80-120-26)	200.00	200.00	100
22.		Establishment of agriculture University Banda (2415-80-120-27)	20.00	10.20	51
23.		National Project of Management of soil health and fertility (4401-105-04)	245.85	245.85	100
24.		Control of insect / disease through different environmental resources (4401-107-04)	400.60	395.60	99
25.		National Agriculture Development Scheme (4401-190-03)	3,987.60	2,036.34	51
26.	12-Agriculture and other Allied Departments (Land Development and Water Resources)	Central Plan/Centrally Sponsored Schemes (2501-05-101-01)	1,048.00	695.83	66
27.	13- Agriculture and other Allied Departments (Rural Development)	Central Plan/Centrally Sponsored Schemes (2515-102-01)	198.00	117.52	59
28.		Scheme for Deepening of wells of blasting units (District Plan) (2702-02-800-03)	11.16	6.32	57
29.		Constructions of roads under Prime Minister Gramodyoga Scheme (5054-04-337-03)	3,502.00	2,723.59	78
30.		Centage charges under the Prime Minister Gram Sadak Yojana (5054-04-337-04)	1,719.00	1,639.00	95
31.	14-Agriculture and Other Allied Department (Panchayati Raj)	Construction of clean toilets under rural cleanliness drive (2515-101-08)	10,455.00	6,984.74	67
32.		Arrangement for Election of Panchayati Raj (2515-800-11)	13,000.00	9,507.74	73
33.		Programmes Financed by backward areas grant fund (2575-02-800-03)	7,000.00	4,974.00	71
34.	15-Agriculture and Other Allied Department (Animal Husbandry)	Central Plan/Centrally Sponsored Schemes (2403-101-01)	2,308.90	1,170.99	51
35.		Fodder and Feed Development Scheme (District Plan) (2403-107-04)	20.00	10.00	50
36.		Directorate (2403-001-03)	13.79	13.79	100
37.		Constructions of Veterinary Hospital (District Plan) (4403-101-03)	1,000.00	825.72	83
38.		Central Plan/Centrally Sponsored Schemes (4403-107-01)	14.00	7.00	50
39.	16-Agriculture and Other Allied Department (Dairy Development)	Central Plan/Centrally Sponsored Schemes (2404-102-01)	155.25	123.63	80
40.		Central Plan/Centrally Sponsored Schemes (2404-191-01)	200.00	110.91	55
41.	17-Agriculture and Other Allied Department (Fisheries)	Central Plan/Centrally Sponsored Schemes (2405-109-01)	60.00	60.00	100
42.		Development of Fish Marketing (2405-120-03)	10.00	10.00	100

(₹ in lakh)

Sl. No.	Number and title of grant	Name of the scheme (Head of account)	Provision	Amount surrendered	Percentage of surrender
43.		Scheme of Fisheries Development (2405-120-04)	80.00	40.00	50
44.		Central Plan/Centrally Sponsored Schemes (2405-190-01)	743.00	368.00	50
45.		Central Plan/Centrally Sponsored Schemes (2405-800-01)	1,348.66	1,030.89	76
46.	18- Agriculture and Other Allied Department (Co-operative)	Investment in Debentures issued by U.P. State Co-operative and rural development Bank Ltd. (6425-108-04)	1,050.00	1,039.50	99
47.	19-Personnel	Payment of Arrears (2052-800-03)	42.43	27.48	65
48.	Department (Training and Other expenditure)	Centre for good Governance (2070-800-04)	55.72	55.72	100
49.	21-Food and Civil Supplies Department	Repayment of loans received for purchase food grains (6003-107-03)	2,00,000.00	1,00,000.00	50
50.	22-Sports Department	Reconstruction of tube well Pavilion shade and Basket ball court and construction of flood light of volleyball court in Saharanpur (4202-03-800-09)	25.00	25.00	100
51.		Construction of Sports Stadium in Mirzapur (4202-03-800-11)	100.00	60.00	60
52.		Construction of mini tubewell and under ground pipe in Kaushambi (4202-03-800-14)	20.00	20.00	100
53.		Construction of Gymnasium Hall in Mahoba (4202-03-800-16)	60.00	35.00	58
54.		Construction of department pavilion (including lift) in Kanpur (4202-03-800-17)	500.00	340.00	68
55.	25-Home Department (Jail)	Construction of residence for jail staff (75% Share of Central and 25% State share based on five year jail improvement) (4070-800-04)	1,000.00	1,000.00	100
56.		Extension and renovation of present jail (75% Share of Central and 25% State share based on five year jail improvement) (4070-800-05)	1,500.00	1,492.89	100
57.		Improvement in water supply and sanitary (75% Share of Central and 25% State share based on five year jail improvement) (4070-800-06)	1,000.00	991.11	99
58.		Minor construction work in the buildings / campus of jail Department (4070-800-11)	10.20	10.20	100
59.		Provision for equipment machinery and vehicles etc. for jails. (4070-800-13)	1,869.46	1,869.46	100
60.		Rain water harvesting through ponds in jail (4070-800-15)	100.00	100.00	100
61.	27-Home Department (Civil Defence)	Central Plan/Centrally Sponsored Schemes (2070-106-01)	130.37	129.18	99
62.		Central Plan/Centrally Sponsored Schemes (4059-01-051-01)	30.00	30.00	100

(₹ in lakh)

Sl. No.	Number and title of grant	Name of the scheme (Head of account)	Provision	Amount surrendered	Percentage of surrender
63.	28-Home Department (Political Pension and other Expenditure)	Subsidiary Grant to Swatantrata Sangram Senani Sansthan for birth Centenary and construction of moments relies of freedom fighters (4250-800-03)	30.00	30.00	100
64.	32-Medical Department (Allopathy)	Establishment of Health fund (2210-80-800-06)	500.00	500.00	100
65.		Direction (2210-01-001-03)	20.00	15.39	77
66.		Construction of T.B. Clinic Building (4210-01-110-04)	350.00	346.84	99
67.		Construction of Postmortem House (4210-01-110-10)	153.00	153.00	100
68.		Construction of Patient Shelter in women Hospitals (4210-01-110-13)	200.00	200.00	100
69.		Building Construction for Plastic Surgery and Burn Unit (4210-01-110-19)	104.00	104.00	100
70.		Construction of Building of new PHC's (4210-02-103-06)	2,000.00	1,519.23	76
71.		Strengthening of infrastructure facilities of Primary Health (4210-02-103-08)	650.00	511.09	79
72.		Establishment of 100 bedded Hospitals (4210-02-110-15)	995.47	533.66	54
73.	36-Medical Department (Public Health)	Laboratories at specific points of Division (2210-06-107-03)	30.38	30.38	100
74.	37-Urban Development Department	State Sanitary Employee Commission (2070-800-03)	51.71	41.77	81
75.		Externally Aided Projects (2215-01-101-97)	1,000.00	1,000.00	100
76.		Central Plan/Centrally Sponsored Schemes (2217-80-800-01)	100.00	50.00	50
77.		Central Plan/Centrally Sponsored Schemes (2230-02-101-01)	1,254.04	626.74	50
78.		Central Plan/Centrally Sponsored Schemes (4215-02-800-01)	600.02	483.02	81
79.		Central Plan/Centrally Sponsored Schemes (4217-03-800-01)	30,200.00	27,430.38	91
80.		Slum Survey / Livelihood Cell (4217-04-800-03)	45.00	45.00	100
81.	38-Civil Department Aviation	Purchase of Helicopter / Aeroplane (5053-80-800-03)	2,350.00	1,177.40	50
82.	39-Language Department	Payment of Arrears (2052-800-03)	79.59	79.59	100
83.	40-Planning Department	Central Plan/Centrally Sponsored Schemes (2402-103-01)	43.00	40.00	93
84.		Special Schemes of Bundelkhand (2575-02-800-03)	3,000.00	3,000.00	100
85.		Arrangement for use of services of experts in process of evaluation of different schemes / programs by State Planning Institute (Estimate Section) (3451-092-07)	50.00	50.00	100

(₹ in lakh)

Sl. No.	Number and title of grant	Name of the scheme (Head of account)	Provision	Amount surrendered	Percentage of surrender
86.		Construction of Office Buildings near Kalakanker House in old Hyderabad Lucknow (4059-01-051-05)	1,000.00	600.00	60
87.		Renewal, Extension, strengthening and remodeling of economic and statistics Building (4059-60-800-07)	200.00	200.00	100
88.		Special Schemes of Bundelkhand (4575-02-800-03)	27,000.00	27,000.00	100
89.	41-Election Department	Accidental Insurance of the persons deputed for the work of Legislative Assembly Election (2015-106-07)	10.00	10.00	100
90.		Accidental Insurance of the persons deputed for the work of By-Election of Lok Sabha / Legislative Assembly (2015-106-09)	10.00	10.00	100
91.	43-Transport Department	Monetary relief to Bus passengers in case of bus accident (2235-60-200-04)	30.00	17.00	57
92.	44-Tourism Department	Central Plan/Centrally Sponsored Schemes (3452-80-104-01)	40.00	25.00	63
93.		Operation of Shilpgram Agra for whole year (3452-80-104-09)	246.00	185.24	75
94.		Externally Aided Schemes (3452-80-800-97)	100.00	89.43	89
95.		Central Plan/Centrally Sponsored Schemes (5452-80-104-01)	4,380.40	2,754.49	63
96.		Tourism Development of Garhman Lake Dhakuwa Dam water fall and Baruwagar Fort / Lake under Bundelkhand paripath (5452-80-104-04)	100.00	100.00	100
97.		Tourism Development of Brij Area (5452-80-104-05)	1,000.00	671.80	67
98.	46-Administrative Reforms Department	Payment of arrears (2052-800-03)	97-66	63-19	65
99.	47-Technical Education Department	Construction of virtual class rooms (2203-112-19)	250.00	250.00	100
100.		Central Plan/Centrally Sponsored Schemes (2230-03-003-01)	107.80	102.15	95
101.		New vocational training in Govt. Industrial Training Institute (2230-03-101-04)	36.97	28.62	77
102.		Central Plan/Centrally Sponsored Schemes (4202-02-105-01)	500.01	380.01	76
103.		Technical University Kanpur (4202-02-800-03)	100.00	100.00	100
104.		Establishment of Govt. Industrial Training Institute in Minority Dominated Development Blocks and other areas (4250-203-03)	600.00	600.00	100
105.		Grant for establishment of Industrial Training Centers in Asevit Development Blocks (4250-203-12)	100.00	100.00	100

Appendix 2.7

(₹ in lakh)

Sl. No.	Number and title of grant	Name of the scheme (Head of account)	Provision	Amount surrendered	Percentage of surrender
106.	48-Muslim Waqf Department	Central Plan/Centrally Sponsored Schemes (2202-01-800-01)	4,542.00	2,512.53	55
107.		Grant to 100 new Aalia level permanently recognized Arabi Farsi Madarsa (2202-01-800-10)	1,000.00	987.43	99
108.		Welfare of minorities (2225-80-190-03)	46.15	27.46	60
109.		Maulana Mohd. Ali Jauhar Scholarship (2225-80-800-05)	36.00	36.00	100
110.		Central Plan/Centrally Sponsored Schemes (2235-02-800-01)	30,000.01	26,302.03	88
111.		Central Plan/Centrally Sponsored Schemes (4202-01-800-01)	2,676.68	2,676.68	100
112.		Central Plan/Centrally Sponsored Schemes (4235-02-800-01)	20,000.01	10,784.87	54
113.	49-Women and Child Welfare Department	Externally Aided Scheme (2235-02-102-97)	25.00	25.00	100
114.		Nutrition Program for adolescent girls (Central 90% / State 10%) (2235-02-103-23)	455.42	455.42	100
115.	50-Revenue Department (District Administration)	Construction of non-residential buildings in Tehsils (4059-01-051-05)	400.00	310.00	78
116.		Construction of racks in collectorate archives (4059-01-051-07)	87.37	55.65	64
117.	52-Revenue Department (Board of Revenue and other Expenditure)	Central Plan/Centrally Sponsored Schemes (2029-103-01)	2,421.61	2,335.75	96
118.		Collection charges of Land Revenue (Mall Gujari) Taquavi Canal and other miscellaneous Govt. dues (2029-101-03)	10.50	10.50	100
119.	53-National Integration Department	Central Plan/Centrally Sponsored Schemes (2070-800-01)	20.01	19.21	96
120.	55-Public Works Department (Buildings)	Construction of Transit Hostel Officers Hostel in Different Districts of the State (4059-80-051-22)	299.99	240.43	80
121.	58-Public Works Department (Communications-Roads)	Organization of 70 th Seminar of Indian Road Congress in 2009-10 (3054-80-800-08)	250.00	250.00	100
122.		Lump sum provision for new works of rural link roads and small bridges (5054-04-337-66)	18,000.00	11,281.28	63
123.	59-Public Works Department (Estate Directorate)	Construction of Dalibag club and renovation / upgradation of Butler place club (4059-80-051-10)	50.00	31.93	64
124.		Lump sum provision for viability gap funding schemes of Public Partnership Project	1,000.00	1,000.00	100
125.	60-Forest Department	External Aided Planning (2406-01-800-97)	5,490.00	5,490.00	100
126.		U.P. Bio Diversity Board fund (2406-02-110-09)	500.00	250.00	50
127.		Establishment (2406-01-001-04)	13.70	9.92	72
128.		Modernization of Forest Department (C.C.L. System) (4406-01-800-06)	198.72	198.72	100

(₹ in lakh)

Sl. No.	Number and title of grant	Name of the scheme (Head of account)	Provision	Amount surrendered	Percentage of surrender
129.	61-Finance Department (Debt Services and other Expenditure)	Grant for re-habilitation to Govt. Public sector / corporations / autonomous Institutions and co-operative Institutions (2075-190-03)	500.00	500.00	100
130.		Loans for rehabilitation to State Government public sector / corporation / Autonomous bodies (6075-190-03)	4,000.00	3,724.00	93
131.		Loans Assistance for financial reorganization to Public Sectors / Corporations / Autonomous Bodies (6075-800-03)	30,000.00	21,615.00	72
132.		House Building advances to offices of all India Services for purchase / construction / repairs or extension of houses (7610-201-03)	200.00	166.20	83
133.		Repayment of ways and means advances (6003-110-03)	10,00,000.00	9,04,004.00	90
134.	62-Finance Department (Superannuation Allowances and Pensions)	Domestic servant allowances to retired officers of U.P. judicial Services / Higher Judicial Services (2071-01-800-03)	300.00	219.81	73
135.		Deposit linked Insurance Scheme (2235-60-104-03)	1,509.00	789.12	52
136.		Interest on deposited amount in contributory pension schemes (2049-03-117-03)	1,500.00	1,353.98	90
137.		Contribution to pension and gratuity (2071-01-106-03)	150.00	150.00	100
138.	68-Legislative Assembly Secretariat	Lump-sum financial assistance to the dependents of deceased members of Legislative Assembly died during the membership period (2235-60-200-05)	25.00	20.00	80
139.	73-Education Department (Higher Education)	Operation of on-line education in Universities (2202-03-102-06)	400.00	399.50	100
140.		Establishment of Employment bureau / guidance cell / placement cell (2202-03-102-48)	300.00	214.35	71
141.		Seminar and symposium in Universities of State (2202-03-103-05)	16.50	16.50	100
142.		Punchee Degree college Agra (2202-03-104-05)	99.00	99.00	100
143.		Seminar and symposium in aided Degree colleges of State (2202-03-104-06)	16.50	16.50	100
144.		Central Plan / Centrally Sponsored Schemes (2202-03-107-01)	1,326.01	1,326.01	100
145.		Grant for programmes Financed from students welfare fund (2204-102-03)	20.00	20.00	100
146.		Provision for basic facilities in Government Degree Colleges (4202-01-203-15)	400.00	218.11	55
147.		Rajrishi Tondon Open University (4202-01-203-17)	70.00	70.00	100

(₹ in lakh)

Sl. No.	Number and title of grant	Name of the scheme (Head of account)	Provision	Amount surrendered	Percentage of surrender
148.		Extension of basic facilities in non-Government Colleges (4202-01-203-21)	1,000.00	760.00	76
149.		Operation of on line education in Government Graduate / Post Graduate Degree Colleges (4202-01-203-23)	100.00	100.00	100
150.	78-Secretariat Administration Department	Ministers, Deputy Ministers and Assembly Secretaries (2013-101-03)	83.48	53.84	64
151.		Development and Extension of Secretariat Script centre and library (2052-090-04)	48.00	32.33	67
152.		Modernization of Secretariat (2052-090-07)	881.00	651.70	74
153.		Establishment of CCTV / Surveillance Camera and related equipments in Secretariat (4059-80-800-04)	430.62	430.62	100
154.		Modernization of security arrangements of Secretariat (4070-800-03)	5,757.83	5,757.83	100
155.	82-Vigilance Department	Vigilance Directorate Building (4059-01-051-03)	400.00	393.01	98
156.	87-Soldier's Welfare Department	Construction of Buildings and Rest Houses of District Soldiers Welfare Offices (4235-60-800-09)	80.00	80.00	100
157.	89-Institutional Finance Department (Commercial Tax)	Establishment of Vyavasthapan Ayog (2040-800-05)	60.14	60.14	100
158.		Regional Offices (4059-01-051-13)	275.00	150.00	55
159.	95-Irrigation Department (Establishment)	Working Establishment (2701-02-001-04)	50.00	25.33	50
160.		Irrigation Development and Flood Control Commission (4701-02-001-07)	28.56	22.93	80
161.	96-Irrigation Department (Works)	Financed by NABARD (4700-30-051-05)	300.00	205.00	68
162.		Financed by State (4700-65-051-03)	73.00	73.00	100
163.		Financed by AIBP (4700-69-051-05)	6,797.00	4,380.80	64
164.		Financed by AIBP (4700-71-051-05)	9,500.00	8,460.00	89
165.		Central Plan/ Centrally Sponsored Schemes (4711-03-103-01)	2,500.00	2,460.00	98
Total			17,98,035.77	14,51,749.74	

(₹ in crore)

Sl. No.	Number and name of the grant/ appropriation	Total grant/ appropriation	Saving	Amount surrendered	Amount surrendered in excess
Revenue – Voted					
1	1-Excise Department	72.81	0.98	2.25	1.27
2	8-Industries Department (Printing and Stationery)	109.70	5.28	5.90	0.62
3	13- Agriculture and Other Allied Departments (Rural Development)	2,080.96	33.08	39.87	6.79
4	15- Agriculture and Other Allied Departments (Animal Husbandry)	390.93	19.46	22.13	2.67
5	16- Agriculture and Other Allied Departments (Dairy Development)	25.71	2.10	3.07	0.97
6	17- Agriculture and Other Allied Departments (Fisheries)	57.93	17.98	19.61	1.63
7	21-Food and Civil Supplies Department	38.36	0.06	3.75	3.69
8	22-Sports Department	31.95	2.08	3.08	1.00
9	27-Home Department (Civil Defence)	346.95	5.24	5.74	0.50
10	28- Home Department (Political Pension and other Expenditure)	97.69	5.85	12.19	6.34
11	41-Election Department	103.83	1.87	3.91	2.04
12	61- Finance Department (Debt Services and Other Expenditure)	44.91	217.26	218.15	0.89
13	62-Finance Department (Superannuation Allowances and Pensions)	9,220.19	77.84	281.58	203.74
14	65-Finance Department (Audit, Small Savings, etc.)	137.75	9.88	10.50	0.62
15	73-Education Department (Higher Education)	1,139.42	93.50	122.56	29.06
16	75-Education Department (State Council of Education Research and Training)	97.38	15.73	16.63	0.90
17	76-Labour Department (Labour Welfare)	160.28	3.06	4.17	1.11
18	77-Labour Department (Employment)	43.28	1.12	1.74	0.62
19	87-Soldier's Welfare Department	54.12	16.90	17.85	0.95
20	89-Institutional Finance Department (Commercial Tax)	391.70	21.18	21.87	0.69
21	95-Irrigation Department (Establishment)	1,585.40	16.76	51.71	34.95
	Total	16,231.25	567.21	868.26	301.05
Capital – Voted					
22	7-Industries Department (Heavy an Medium Industries)	146.80	24.47	26.59	2.12
23	11-Agriculture and Other Allied Departments (Agriculture)	322.04	32.74	34.42	1.68
24	13- Agriculture and Other Allied Departments (Rural Development)	1,051.33	19.68	43.63	23.95
25	15- Agriculture and Other Allied Departments (Animal Husbandry)	19.70	8.54	9.04	0.50
26	21-Food and Civil Supplies Department	11,727.13	627.51	665.13	37.62
27	50-Revenue Department (District Administration)	141.02	19.83	23.56	3.73
28	57-Public Works Department (Communication-Bridges)	620.43	28.57	74.06	45.49
29	95-Irrigation Department (Establishment)	278.93	0.87	29.57	28.70
30	96-Irrigation Department (Works)	2,630.91	22.13	652.70	630.57
	Total	16,938.29	784.34	1,558.70	774.36
Revenue-Charged					
31	62-Finance Department(Superannuation Allowances and Pensions)	17.55	9.70	15.12	5.42
	Total	17.55	9.70	15.12	5.42
	Grand Total	33,187.09	1,361.25	2,442.08	1,080.83

Statement of grants/appropriations in which savings occurred but no part of which was surrendered

(Reference: Paragraph 2.3.11; Page 46)

Sl. No.	Name of grant/appropriation	Savings (₹ in crore)	
		Revenue	Capital
I -Grants			
1	3- Industries Department (Small Industries and Export Promotion)	-	0.52
2	4- Industries Department (Mines and Minerals)	0.93	0.03
3	9- Power Department	67.86	-
4	23- Cane Development Department (Cane)	19.70	0.86
5	24- Cane Development Department (Sugar Industry)	5.75	-
6	26- Home Department (Police)	101.09	145.34
7	30- Confidential Department (Revenue Special Intelligence Directorate and Other Expenditure)	0.20	-
8	31- Medical Department (Medical Education and Training)	27.37	7.58
9	35- Medical Department (Family Welfare)	84.23	40.54
10	42- Judicial Department	191.88	107.22
11	43- Transport Department	-	0.08
12	45- Environment Department	11.44	-
13	49- Women and Child Welfare Department	-	0.96
14	50- Revenue Department (District Administration)	33.90	-
15	51- Revenue Department (Relief on account of Natural Calamities)	601.30	-
16	56- Public Works Department (Special Area Programme)	0.83	11.51
17	62- Finance Department (Superannuation Allowances and Pensions)	-	93.55
18	71- Education Department (Primary Education)	1,313.45	1.56
19	72- Education Department (Secondary Education)	258.35	3.68
20	79- Social Welfare Department (Welfare of the Handicapped and the Backward Classes)	5.53	4.77
21	80- Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	91.41	
22	81- Social Welfare Department (Tribal Welfare)	11.91	7.62
23	83- Social Welfare Department (Special Component Plan for Scheduled Castes)	291.56	724.30
24	86- Information Department	39.82	-
25	91- Intuition Finance Department (Stamps and Registration)	11.48	0.07
	Total	3,169.99	1,150.19
II- Appropriations			
1	1- Excise Department	0.02	-
2	20- Personnel Department (Public Service Commission)	0.56	-
3	26- Home Department (Police)	0.63	-
4	35- Medical Department (Family Welfare)	0.15	-
5	42- Judicial Department	7.42	-
6	49- Women and Child Welfare Department	0.10	-
7	50- Revenue Department (District Administration)	0.18	-
8	52- Revenue Department (Board of Revenue and Other Expenditure)	-	0.10
9	72- Education Department (Secondary Education)	0.03	-
10	96- Irrigation Department (Works)	-	3.27
	Total	9.09	3.37
	Grand Total	3,179.08	1,153.56
	Total of Revenue and Capital	4,332.64	

Appendix 2.10

Details of saving of ₹ One crore and above not surrendered

(Reference Paragraph 2.3.11; Page 46)

(₹ in crore)

Sl. No.	Number and Name of Grants/Appropriation	Savings	Surrenders	Savings which remained to be surrendered
1	2	3	4	5
Revenue Voted				
1	3-Industries Department (Small Industry and Export promotion)	10.18	9.09	1.09
2	9- Power Department	67.86	-	67.86
3	11- Agriculture and Other Allied Departments (Agriculture)	720.33	673.65	46.68
4	14-Agriculture and Other Allied Departments (Panchayati Raj)	334.35	295.42	38.93
5	23- Cane Development Department (Cane)	19.70	-	19.70
6	24- Cane Development Department (Sugar Industry)	5.75	-	5.75
7	26- Home Department (Police)	101.09	-	101.09
8	31-Medical Department(Medical Education and Training)	27.37	-	27.37
9	32-Medical Department (Allopathy)	414.68	394.33	20.35
10	34-Medical Department (Homeopathy)	25.00	20.79	4.21
11	35- Medical Department (Family Welfare)	84.23	-	84.23
12	37-Urban Development Department	54.47	50.17	4.30
13	42- Judicial Department	191.88	-	191.88
14	45-Environment Department	11.44	-	11.44
15	47-Technical Education Department	53.26	14.61	38.65
16	49-Women and Child Welfare Department	218.28	183.55	34.73
17	50- Revenue Department (District Administration)	33.90	-	33.90
18	51- Revenue Department (Relief on account of Natural Calamities)	601.30	-	601.30
19	52-Revenue Department (Board of Revenue and Other Expenditure)	64.65	48.03	16.62
20	54- Public Works Department(Establishment)	442.11	2.83	439.28
21	59- Public Works Department(Estate Directorate)	6.41	2.96	3.45
22	60-Forest Department	65.12	63.59	1.53
23	71- Education Department (Primary Education)	1,313.45	-	1,313.45
24	72-Education Department (Secondary Education)	258.35	-	258.35
25	79- Social Welfare Department (Welfare of the Handicapped and the Backward Classes)	5.53	-	5.53
26	80- Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	91.41	-	91.41
27	81- Social Welfare Department(Tribal Welfare)	11.91	-	11.91
28	83-Social Welfare Department (Special Component Plan for Scheduled Castes)	291.56	-	291.56
29	86- Information Department	39.82	-	39.82
30	91- Institutional Finance Department (Stamps and Registration)	11.48	-	11.48
31	96-Irrigation Department (Works)	158.52	2.81	155.71
	Total	5,735.39	1,761.83	3,973.56

(₹ in crore)

Sl. No.	Number and Name of Grants/Appropriation	Savings	Surrenders	Savings which remained to be surrendered
1	2	3	4	5
Capital Voted				
1	26- Home Department (Police)	145.34	-	145.34
2	31- Medical Department(Medical Education and Training)	7.58	-	7.58
3	32-Medical Department (Allopathy)	151.78	143.87	7.91
4	35- Medical Department (Family Welfare)	40.54	-	40.54
5	37-Urban Development Department	374.16	370.71	3.45
6	40-Planning Department	294.19	283.25	10.94
7	42- Judicial Department	107.22	-	107.22
8	47-Technical Education Department	75.36	39.75	35.61
9	56- Public Works Department(Special Area Programme)	11.51	-	11.51
10	61-Finance Department(Debt Services and other Expenditure)	274.13	269.40	4.73
11	62- Finance Department (Superannuation Allowances and Pensions)	93.55	-	93.55
12	71- Education Department (primary Education)	1.56	-	1.56
13	72- Education Department (Secondary Education)	3.68	-	3.68
14	79- Social Welfare Department (Welfare of the Handicapped and the Backward Classes)	4.77	-	4.77
15	81- Social Welfare Department (Tribal Welfare)	7.62	-	7.62
16	83- Social Welfare Department (Special Component Plan for Scheduled Castes)	724.30	-	724.30
	Total	2,317.29	1,106.98	1,210.31
Revenue Charged				
1	42- Judicial Department	7.42	-	7.42
2	61-Finance Department(Debt Services and other Expenditure)	352.19	1.23	350.96
	Total	359.61	1.23	358.38
Capital Charged				
1	61-Finance Department(Debt Services and other Expenditure)	9,219.96	9,041.70	178.26
2	96- Irrigation Department (Works)	3.27	-	3.27
	Total	9,223.23	9,041.70	181.53
	Grand Total	17,635.52	11,911.74	5,723.78

Cases of surrender of funds in excess of ₹ 10 crore on 30 and 31 March 2010

(Reference: Paragraph 2.3.11; Page 46)

(₹ in crore)

Sl. No.	Grant No.	Major Head	Amount of surrender	Percentage of total provision
Revenue Voted				
1	6	Industries Department (Handloom Industry)	13.64	38
2	7	Industries Department (Heavy and Medium Industries)	97.02	42
3	10	Agriculture and Other Allied Departments (Horticulture and Sericulture Development)	21.69	11
4	11	Agriculture and Other Allied Departments (Agriculture)	673.65	29
5	12	Agriculture and Other Allied Departments (Land Development and Water Resources)	24.00	12
6	13	Agriculture and Other Allied Departments (Rural Development)	39.87	02
7	14	Agriculture and Other Allied Departments (Panchayati Raj)	295.42	17
8	15	Agriculture and Other Allied Departments (Animal Husbandry)	22.13	06
9	17	Agriculture and Other Allied Departments (Fisheries)	19.61	34
10	28	Home Department (Political Pension and other Expenditure)	12.19	12
11	32	Medical Department (Allopathy)	394.33	17
12	33	Medical Department (Ayurvedic and Unani)	42.09	12
13	34	Medical Department (Homeopathy)	20.79	12
14	36	Medical Department (Public Health)	50.03	13
15	37	Urban Development Department	50.17	09
16	40	Planning Department	44.45	34
17	47	Technical Education Department	14.61	05
18	48	Muslim Waqf Department	349.41	43
19	49	Women and Child Welfare Department	183.55	06
20	52	Revenue Department (Board of Revenue and Other Expenditure)	48.03	03
21	60	Forest Department	63.59	17
22	62	Finance Department (Superannuation Allowances and Pensions)	281.58	03
23	65	Finance Department (Audit, Small Savings, etc.)	10.50	08
24	73	Education Department (Higher Education)	122.56	11
25	75	Education Department (State Council of Education Research and Training)	16.63	17
26	78	Secretariat Administration Department	29.86	09
27	87	Soldiers' Welfare Department	17.85	33
28	89	Institutional Finance Department (Commercial Tax)	21.87	06
29	95	Irrigation Department (Establishment)	51.71	03
Total			3,032.83	

(₹ in crore)

Sl. No.	Grant No.	Major Head	Amount of surrender	Percentage of total provision
Capital Voted				
30	7	Industries Department (Heavy and Medium Industries)	26.59	18
31	9	Power department	1,871.08	27
32	11	Agriculture and Other Allied Departments (Agriculture)	34.42	11
33	13	Agriculture and Other Allied Departments (Rural Development)	43.63	04
34	14	Agriculture and Other Allied Departments (Panchayati Raj)	17.89	03
35	18	Agriculture and Other Allied Departments (Cooperative)	10.39	32
36	21	Food and Civil Supplies Department	665.13	06
37	32	Medical Department (Allopathy)	143.87	24
38	37	Urban Development Department	371.70	29
39	40	Planning Department	283.25	81
40	44	Tourism Department	31.41	14
41	47	Technical Education Department	39.75	16
42	50	Revenue Department (District Administration)	23.56	17
43	57	Public works Department (Communications- Bridges)	74.06	12
44	61	Finance Department (Debt Services and other Expenditure)	269.40	59
45	95	Irrigation Department(Establishment)	29.57	11
46	96	Irrigation Department(Works)	652.70	25
		Total	4,588.40	
Revenue charged				
47	62	Finance Department (Superannuation Allowance and Pension)	15.12	86
		Total	15.12	
Capital charged				
48	61	Finance Department (Debt Services and Other Expenditure)	9,041.70	57
		Total	9,041.70	
		Grand Total	16,678.05	

(₹ in lakh)

Sl. No.	Department	Year of payment of grant	Total Grant paid		Utilisation certificate				Reasons for the UCs remaining outstanding
			No.	Amount	Received		Outstanding		
					No.	Amount	No.	Amount	
1.	Department of Technical Education	2008-09	41	9,211.33	20	3,321.25	21	5,890.08	Reasons not furnished
2.	Department of Education (Higher Education)	2008-09	NA	5,626.28	NA		NA	5,626.28	Reasons not furnished
3.	Department of Education (Secondary Education)	2008-09	NA	17,502.88	NA	5,751.39	NA	11,751.49	Reasons not furnished
4.	Department of Urban Development (UP Jal Nigam)	2008-09	NA	39,868.43	2	8,096.11	NA	31,772.32	Reasons not furnished
5.	Department of Medical Education and Training (Dr. Ram Manohar Lohia Institute of Medical Sciences Lucknow)	2007-08	NA	4,500.00	NA	4,455.43	2	44.57	Reasons not furnished
		2008-09	2	23.00	1	20.00	01	3.00	
6.	Department of Milk Development	2007-08	NA	11,656.27	NA	6,855.39	479	4,800.88	Reasons not furnished
		2008-09	672	970.02	151	195.54	521	774.48	
7.	Department of Language	2008-09	12	270.41	4	99.32	8	171.09	Reasons not furnished
8.	Department of Karmik, Training and Other Expenditure	2008-09	NA	154.00	NA		NA	154.00	Reasons not furnished
9.	Department of National Integration	2008-09	101	6.60	41	2.40	60	4.20	Reasons not furnished
10.	Department of Agriculture and Other Allied Activities (Registrar, Cooperative Societies)	2008-09	12	2,355.77	12	470.97	NA	1,884.80	Reasons not furnished
11.	Department of Tourism	2008-09	9	45.00	9	23.88	NA	1.12	Reasons not furnished
12.	Department of Secretariat Administration	2008-09	19	5.00	18	4.75	1	0.25	Reasons not furnished
13.	Department of Forest (Chief Conservator of Forest)	2008-09	3	75.00	2	50.00	1	25.00	Reasons not furnished
14.	Department of Judiciary	2008-09	145	1,723.09	73	1,582.21	72	140.88	Reasons not furnished
15.	Department of Social Welfare	2008-09	71	28,358.23	NA		71	28,358.23	Reasons not furnished
16.	Department of Social Welfare (STs Development)	2008-09	50	859.85	7	7.28	43	852.57	Reasons not furnished
17.	Department of Women Welfare	2008-09	25	54,114.00	NA	53,308.00	25	806.00	Reasons not furnished
18.	Department of Agriculture and Other Allied Activities (Fisheries)	2008-09	142	515.33	64	207.04	78	308.29	Reasons not furnished
19.	Department of Administrative Reforms	2008-09	2	6.95	1	3.45	1	3.50	Reasons not furnished
20.	Department of Industries (Handicraft)	2004-05	438	4,459.61	438	3,959.61	NA	500.00	Reasons not furnished
		2005-06	782	2,340.27	682	1,314.73	100	1,025.55	Reasons not furnished
		2007-08	370	727.39	102	351.89	268	375.50	Reasons not furnished
		2008-09	112	858.19	110	824.29	2	33.89	Reasons not furnished
21.	Department of Political Pensions and Other Expenditure	2008-09	NA	10.42	NA	2.00	NA	8.42	Reasons not furnished
22.	Department of Sugarcane Development (Sugar Commissioner)	2001-02	NA	679.10	NA	NA	89	679.10	Reasons not furnished
		2005-06	88	12,609.00	87	6,609.00	01	6,000.00	Reasons not furnished
		2006-07	97	16,100.97	95	14,698.97	02	1,402.00	Reasons not furnished
		2008-09	30	83,614.78	29	82,114.78	01	1500	Reasons not furnished
23.	Transport Department (U.P.State Road Transport Nigam Ltd.)	1998-99	NA	49	NA	NA	01	49.00	Reasons not furnished
Total					1848	1,04,946.49			

Note: The department has not furnished the complete information in these cases.

Statement showing delay in submission of accounts by the autonomous bodies

(Reference: Paragraph 3.4; Page 53)

Sl. No.	Name of body	Period of entrustment	Year up to which accounts were rendered	Period up to which Separate Audit Report is issued	Placement of Separate Audit Report in the Legislature	Delay in submission of accounts	Period of delay
1	2	3	4	5	6	7	8
1	Jal Sansthan, Jhansi	2001-10	2008-09	2008-09	These are not placed before the Legislature.	2009-10	2 months
2	Jal Sansthan, Allahabad	2001-10	2008-09	2008-09		2009-10	2 months
3	Jal Sansthan, Agra	2001-10	2008-09	2008-09		2009-10	2 months
4	Jal Sansthan, Varanasi	2001-10	2008-09	2008-09		2009-10	2 months
5	Jal Sansthan, Kanpur	2001-10	2008-09	2008-09		2009-10	2 months
6	Jal Sansthan, Chitrakoot Dham, Banda	2001-10	2008-09	2008-09		2009-10	2 months
7	Jal Sansthan, Lucknow	2001-10	2008-09	2008-09		2009-10	2 months
8	Khadi Gramodyog Board Lucknow	2004-14	2007-08	2005-06	Placement of SAR ending 2004-05 and 2005-06 is in process	2008-10	2-14 months

Statement of finalisation of accounts and investment in departmentally managed commercial and quasi-commercial undertakings.

(Reference: Paragraph 3.5; Page 54)

Sl. No.	Name of the Undertaking	Accounts finalised up to	Investment as per the last accounts finalised (₹ in crore)
Irrigation Department			
1	IWD, Meerut	2009-10	0.37
2	IWD, Jhansi	2009-10	0.53
3	IWD, Bareilly	2009-10	2.25
4	IWD, Kanpur	2009-10	0.21
5	IWD, Allahabad	2009-10	1.92
6	IWD, Gorakhpur	2009-10	1.54
Animal Husbandry Department			
7	State Live Stock Cum Agriculture Farm	2008-09	13.17
Food and Civil Supplies Department			
8	Scheme for Public Distribution System of Foodgrain	2007-08	348.60
Health Department			
9	State Pharmacy of Ayurvedic and Unani Medicines	1987-88	0.09
Samaj Kalyan Department			
10	Criminal tribes Settlement tailoring Factory (Kanpur)	1979-80	0.04
Total			368.72

Department-wise/duration-wise break-up of the cases of misappropriation, defalcation, etc., (cases where final action was pending at the end of March 2010)
(Reference: Paragraph 3.6; Page 54)

(Figures in bracket indicate ₹ in lakh)

Sl. No.	Department	Up to 5 years	5 to 10 years	10 to 15 years	15 to 20 years	20 to 25 years	Above 25 years	Total no. of cases
1	Agriculture	-	3 (8.91)	-	1 (0.16)	1 (0.18)	-	5 (9.25)
2	Animal Husbandry	-	1 (3.20)	3 (0.79)	7 (1.91)	4 (0.64)	1 (0.02)	16 (6.56)
3	Co-operative	-	-	1 (1.28)	1 (0.17)	-	---	2 (1.45)
4	Education	2 (102.27)	-	1 (5.00)	---	---	---	3 (107.27)
5	Finance	-	-	-	-	1 (0.67)	-	1 (0.67)
6	Fisheries	-	-	-	5 (2.20)	1 (0.50)	1 (0.50)	7 (3.20)
7	Food and Supplies	-	1 (3.06)	-	3 (7.09)	5 (18.63)	-	9 (28.78)
8	Irrigation	16 (28.50)	10 (0.52)	26 (9.91)	-	-	-	52 (38.93)
9	Judiciary	1 (4.44)	-	-	-	1 (0.66)	-	2 (5.10)
10	Land Acquisition	-	-	-	-	-	3 (331.44)	3 (331.44)
11	Medical & Health and Family Welfare	-	-	1 (1.53)	3 (4.42)	11 (12.46)	-	15 (18.41)
12	Police	1 (4.00)	-	-	1 (1.21)	1 (0.60)	3 (2.94)	6 (8.75)
13	PAC	---	-	1 (47.48)	-	1 (0.51)	-	2 (47.99)
14	PWD	10 (151.04)	1 (0.98)	-	-	-	-	11 (152.02)
15	Revenue	-	1 (1.72)	-	-	2 (1.45)	2 (4.97)	5 (8.14)
16	Rural Development	-	-	-	3 (1.65)	4 (0.74)	5 (1.45)	12 (3.84)
17	Social Welfare	-	-	1 (0.25)	-	-	2 (0.70)	3 (0.95)
18	Technical Education	-	1 (11.59)	-	-	-	-	1 (11.59)
19	Weight and Measurement	-	-	-	-	1 (1.01)	-	1 (1.01)
20	Horticulture	1 (3.59)	-	-	-	-	-	1 (3.59)
	Total	31 (293.84)	18 (29.98)	34 (66.24)	24 (18.81)	33 (38.05)	17 (342.02)	157 (788.94)

Appendix 3.5

Department-wise/category-wise details in respect of cases of loss to Government due to theft, misappropriation/loss of Government material

(Reference: Paragraph 3.6; Page 54)

Name of the Department	Theft cases		Misappropriation/ Loss of Government Material		Total	
	Number of Cases	Amount (₹in lakh)	Number of Cases	Amount (₹in lakh)	Number of Cases	Amount (₹ in lakh)
Agriculture	2	1.63	3	7.62	5	9.25
Animal Husbandry	11	1.79	5	4.77	16	6.56
Co-operative	1	1.28	1	0.17	2	1.45
Education	1	5.00	2	102.27	3	107.27
Finance	-	-	1	0.67	1	0.67
Fisheries	2	1.00	5	2.20	7	3.20
Food and Supplies	-	-	9	28.78	9	28.78
Irrigation	45	2.61	7	36.32	52	38.93
Judiciary	-	-	2	5.10	2	5.10
Land Acquisition	-	-	3	331.44	3	331.44
Medical & Health	12	14.43	3	3.98	15	18.41
Police	-	-	6	8.75	6	8.75
PAC	-	-	2	47.99	2	47.99
PWD	3	1.63	8	150.39	11	152.02
Revenue	-	-	5	8.14	5	8.14
Rural Development	5	1.34	7	2.50	12	3.84
Social Welfare	-	-	3	0.95	3	0.95
Technical Education	-	-	1	11.59	1	11.59 ^{45.20}
Weights and Measurement	1	1.0	-	-	1	1.01
Horticulture	-	-	1	3.59	1	3.59
Total	83	31.72	74	757.22	157	788.94